Form **990**

Department of the Treasury
Internal Revenue Service

# Return of Organization Exempt From Income Tax

**Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)**

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990 and its instructions is at *www.irs.gov/form990.*

OMB No. 1545-0047

**2017**

**Open to Public Inspection**

**A For the 2017 calendar year, or tax year beginning** 10/01, **2017, and ending** 09/30, **20** 18

**B** Check if applicable:
- [ ] Address change
- [ ] Name change
- [ ] Initial return
- [ ] Terminated
- [ ] Amended return
- [ ] Application pending

**C** Name of organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Doing Business As

Number and street (or P.O. box if mail is not delivered to street address): 257 PARK AVENUE SOUTH — Room/suite

City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10010

**D Employer identification number** 11-6107128

**E** Telephone number (212) 505-2100

**G** Gross receipts $ 244,133,986.

**F** Name and address of principal officer: FREDERIC D. KRUPP
257 PARK AVENUE SOUTH, NEW YORK, NY 10010

**H(a)** Is this a group return for subordinates? [ ] Yes [X] No

**H(b)** Are all subordinates included? [ ] Yes [ ] No

If "No," attach a list. (see instructions)

**H(c)** Group exemption number ▶

**I** Tax-exempt status: [X] 501(c)(3) [ ] 501(c) ( ) ◀ (insert no.) [ ] 4947(a)(1) or [ ] 527

**J** Website: ▶ WWW.EDF.ORG

**K** Form of organization: [X] Corporation [ ] Trust [ ] Association [ ] Other ▶

**L** Year of formation: 1967 **M** State of legal domicile: NY

## Part I Summary

**Activities & Governance**

1 Briefly describe the organization's mission or most significant activities: TO PRESERVE THE NATURAL SYSTEMS ON WHICH ALL LIFE DEPENDS. GUIDED BY SCIENCE & ECONOMICS, WE FIND PRACTICAL & LASTING SOLUTIONS TO THE MOST SERIOUS ENVIRONMENTAL PROBLEMS.

2 Check this box ▶ [ ] if the organization discontinued its operations or disposed of more than 25% of its net assets.

| | | | |
|---|---|---|---|
| 3 | Number of voting members of the governing body (Part VI, line 1a) | 3 | 40. |
| 4 | Number of independent voting members of the governing body (Part VI, line 1b) | 4 | 40. |
| 5 | Total number of individuals employed in calendar year 2017 (Part V, line 2a) | 5 | 816. |
| 6 | Total number of volunteers (estimate if necessary) | 6 | 42. |
| 7a | Total unrelated business revenue from Part VIII, column (C), line 12 | 7a | 0. |
| b | Net unrelated business taxable income from Form 990-T, line 34 | 7b | 349,036. |

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| | | | Prior Year | Current Year |
|---|---|---|---|---|
| Revenue | 8 | Contributions and grants (Part VIII, line 1h) | 144,334,196. | 207,828,937. |
| | 9 | Program service revenue (Part VIII, line 2g) | 0. | 0. |
| | 10 | Investment income (Part VIII, column (A), lines 3, 4, and 7d) | 1,167,954. | 1,752,509. |
| | 11 | Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) | 1,148,744. | 1,014,794. |
| | 12 | Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) | 146,650,894. | 210,596,240. |
| Expenses | 13 | Grants and similar amounts paid (Part IX, column (A), lines 1-3) | 21,125,027. | 20,634,098. |
| | 14 | Benefits paid to or for members (Part IX, column (A), line 4) | 0. | 0. |
| | 15 | Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) | 79,778,898. | 86,840,996. |
| | 16a | Professional fundraising fees (Part IX, column (A), line 11e) | 1,469,985. | 1,383,759. |
| | b | Total fundraising expenses (Part IX, column (D), line 25) ▶ 20,870,048. | | |
| | 17 | Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) | 69,166,780. | 74,751,378. |
| | 18 | Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) | 171,540,690. | 183,610,231. |
| | 19 | Revenue less expenses. Subtract line 18 from line 12 | -24,889,796. | 26,986,009. |
| | | | **Beginning of Current Year** | **End of Year** |
| Net Assets or Fund Balances | 20 | Total assets (Part X, line 16) | 221,119,624. | 245,361,470. |
| | 21 | Total liabilities (Part X, line 26) | 36,055,740. | 33,385,261. |
| | 22 | Net assets or fund balances. Subtract line 21 from line 20 | 185,063,884. | 211,976,209. |

## Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

**Sign Here**

▶ Signature of officer — Date: MAY 31, 2019

▶ WILLIAM P. O'BRIEN — Type or print name and title

| **Paid Preparer Use Only** | | | | |
|---|---|---|---|---|
| Print/Type preparer's name: PAUL HAMMERSCHMIDT | Preparer's signature | Date: 5/31/2019 | Check [ ] if self-employed | PTIN: P01384178 |
| Firm's name ▶ BDO USA, LLP | | | Firm's EIN ▶ 13-5381590 | |
| Firm's address ▶ 100 PARK AVENUE, NEW YORK, NY 10017-5001 | | | Phone no. 212-885-8000 | |

May the IRS discuss this return with the preparer shown above? (see instructions) [X] Yes [ ] No

**For Paperwork Reduction Act Notice, see the separate instructions.**

Form **990** (2017)

**Part III Statement of Program Service Accomplishments**

Check if Schedule O contains a response or note to any line in this Part III . . . . . . . . . . . . . . . . . . . . . . . . [X]

1 Briefly describe the organization's mission:

TO PRESERVE THE NATURAL SYSTEMS ON WHICH ALL LIFE DEPENDS. GUIDED BY SCIENCE AND ECONOMICS, WE FIND PRACTICAL AND LASTING SOLUTIONS TO THE MOST SERIOUS ENVIRONMENTAL PROBLEMS.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . [ ] Yes [X] No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . [ ] Yes [X] No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses $ 75,839,546. including grants of $ 12,473,578. ) (Revenue $ 0. )

ATTACHMENT 1

4b (Code: ) (Expenses $ 28,032,980. including grants of $ 3,646,159. ) (Revenue $ 0. )

ATTACHMENT 2

4c (Code: ) (Expenses $ 23,755,088. including grants of $ 2,877,250. ) (Revenue $ 0. )

ATTACHMENT 3

4d Other program services (Describe in Schedule O.) ATTACHMENT 4

(Expenses $ 22,753,505. including grants of $ 1,637,111. ) (Revenue $ 0. )

4e Total program service expenses ▶ 150,381,119.

**Part IV Checklist of Required Schedules**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A* | 1 | X | |
| 2 | Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)? | 2 | X | |
| 3 | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I* | 3 | | X |
| 4 | **Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II* | 4 | X | |
| 5 | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III* | 5 | | X |
| 6 | Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I* | 6 | | X |
| 7 | Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II* | 7 | | X |
| 8 | Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III* | 8 | | X |
| 9 | Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV* | 9 | | X |
| 10 | Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V* | 10 | X | |
| 11 | If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable. | | | |
| a | Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI* | 11a | X | |
| b | Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII* | 11b | | X |
| c | Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII* | 11c | | X |
| d | Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX* | 11d | | X |
| e | Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X* | 11e | X | |
| f | Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X* | 11f | X | |
| 12a | Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII* | 12a | | X |
| b | Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional* | 12b | X | |
| 13 | Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E* | 13 | | X |
| 14a | Did the organization maintain an office, employees, or agents outside of the United States? | 14a | X | |
| b | Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV* | 14b | X | |
| 15 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV* | 15 | X | |
| 16 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV* | 16 | | X |
| 17 | Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I* (see instructions) | 17 | X | |
| 18 | Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II* | 18 | | X |
| 19 | Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III* | 19 | | X |

**Part IV** **Checklist of Required Schedules** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| **20a** | Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H* | **20a** | | X |
| **b** | If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? | **20b** | | |
| **21** | Did the organization report more than $5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II* | **21** | X | |
| **22** | Did the organization report more than $5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III* | **22** | | X |
| **23** | Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J* | **23** | X | |
| **24a** | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a* | **24a** | | X |
| **b** | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | **24b** | | |
| **c** | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | **24c** | | |
| **d** | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | **24d** | | |
| **25a** | **Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I* | **25a** | | X |
| **b** | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I* | **25b** | | X |
| **26** | Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? *If "Yes," complete Schedule L, Part II* | **26** | | X |
| **27** | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III* | **27** | | X |
| **28** | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions): | | | |
| **a** | A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV* | **28a** | | X |
| **b** | A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV* | **28b** | | X |
| **c** | An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV* | **28c** | | X |
| **29** | Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M* | **29** | X | |
| **30** | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M* | **30** | | X |
| **31** | Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I* | **31** | | X |
| **32** | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II* | **32** | | X |
| **33** | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? *If "Yes," complete Schedule R, Part I* | **33** | | X |
| **34** | Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1* | **34** | X | |
| **35a** | Did the organization have a controlled entity within the meaning of section 512(b)(13)? | **35a** | X | |
| **b** | If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2* | **35b** | X | |
| **36** | **Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2* | **36** | X | |
| **37** | Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI* | **37** | | X |
| **38** | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O. | **38** | X | |

**Part V Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response or note to any line in this Part V . . . . . [X]

| | | | | Yes | No |
|---|---|---|---|---|---|
| **1a** | Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable | 1a | 491 | | |
| **b** | Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable | 1b | 0. | | |
| **c** | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? | | | 1c X | |
| **2a** | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return | 2a | 816 | | |
| **b** | If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to *e-file* (see instructions) | | | 2b X | |
| **3a** | Did the organization have unrelated business gross income of $1,000 or more during the year? | | | 3a X | |
| **b** | If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation in Schedule O* | | | 3b X | |
| **4a** | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? | | | 4a X | |
| **b** | If "Yes," enter the name of the foreign country: ▶ ATTACHMENT 5<br>See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). | | | | |
| **5a** | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? | | | 5a | X |
| **b** | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | | | 5b | X |
| **c** | If "Yes" to line 5a or 5b, did the organization file Form 8886-T? | | | 5c | |
| **6a** | Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? | | | 6a | X |
| **b** | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | | | 6b | |
| **7** | **Organizations that may receive deductible contributions under section 170(c).** | | | | |
| **a** | Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor? | | | 7a | X |
| **b** | If "Yes," did the organization notify the donor of the value of the goods or services provided? | | | 7b | |
| **c** | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? | | | 7c | X |
| **d** | If "Yes," indicate the number of Forms 8282 filed during the year | 7d | | | |
| **e** | Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | | | 7e | X |
| **f** | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | | 7f | X |
| **g** | If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? | | | 7g | |
| **h** | If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? | | | 7h | |
| **8** | **Sponsoring organizations maintaining donor advised funds.** Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year? | | | 8 | |
| **9** | **Sponsoring organizations maintaining donor advised funds.** | | | | |
| **a** | Did the sponsoring organization make any taxable distributions under section 4966? | | | 9a | |
| **b** | Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? | | | 9b | |
| **10** | **Section 501(c)(7) organizations.** Enter: | | | | |
| **a** | Initiation fees and capital contributions included on Part VIII, line 12 | 10a | | | |
| **b** | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities | 10b | | | |
| **11** | **Section 501(c)(12) organizations.** Enter: | | | | |
| **a** | Gross income from members or shareholders | 11a | | | |
| **b** | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) | 11b | | | |
| **12a** | **Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041? | | | 12a | |
| **b** | If "Yes," enter the amount of tax-exempt interest received or accrued during the year | 12b | | | |
| **13** | **Section 501(c)(29) qualified nonprofit health insurance issuers.** | | | | |
| **a** | Is the organization licensed to issue qualified health plans in more than one state?<br>**Note.** See the instructions for additional information the organization must report on Schedule O. | | | 13a | |
| **b** | Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans | 13b | | | |
| **c** | Enter the amount of reserves on hand | 13c | | | |
| **14a** | Did the organization receive any payments for indoor tanning services during the tax year? | | | 14a | X |
| **b** | If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O* | | | 14b | |

**Part VI** **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response or note to any line in this Part VI . . . . . . [X]

**Section A. Governing Body and Management**

| | | Line | Value | Yes | No |
|---|---|---|---|---|---|
| 1a | Enter the number of voting members of the governing body at the end of the tax year. If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O. | 1a | 40 | | |
| b | Enter the number of voting members included in line 1a, above, who are independent | 1b | 40 | | |
| 2 | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? | 2 | | | X |
| 3 | Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? | 3 | | | X |
| 4 | Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? | 4 | | | X |
| 5 | Did the organization become aware during the year of a significant diversion of the organization's assets? | 5 | | | X |
| 6 | Did the organization have members or stockholders? | 6 | | | X |
| 7a | Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? | 7a | | | X |
| b | Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? | 7b | | | X |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: | | | | |
| a | The governing body? | 8a | | X | |
| b | Each committee with authority to act on behalf of the governing body? | 8b | | X | |
| 9 | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O* | 9 | | | X |

**Section B. Policies** *(This Section B requests information about policies not required by the Internal Revenue Code.)*

| | | Line | Yes | No |
|---|---|---|---|---|
| 10a | Did the organization have local chapters, branches, or affiliates? | 10a | | X |
| b | If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? | 10b | | |
| 11a | Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? | 11a | X | |
| b | Describe in Schedule O the process, if any, used by the organization to review this Form 990. | | | |
| 12a | Did the organization have a written conflict of interest policy? *If "No," go to line 13* | 12a | X | |
| b | Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? | 12b | X | |
| c | Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done* | 12c | X | |
| 13 | Did the organization have a written whistleblower policy? | 13 | X | |
| 14 | Did the organization have a written document retention and destruction policy? | 14 | X | |
| 15 | Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | | | |
| a | The organization's CEO, Executive Director, or top management official | 15a | X | |
| b | Other officers or key employees of the organization | 15b | X | |
| | If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions). | | | |
| 16a | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? | 16a | | X |
| b | If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? | 16b | | |

**Section C. Disclosure**

17 List the states with which a copy of this Form 990 is required to be filed ▶ ATTACHMENT 6

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

[X] Own website [ ] Another's website [X] Upon request [ ] Other *(explain in Schedule O)*

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records: ▶
ROBERT YOUNG, 257 PARK AVENUE SOUTH, NEW YORK, NY 10010 212-505-2100

**Part VII** **Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII. . . . . . . . . . . . . . . . . . . . . . . . . [X]

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

[ ] Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position: Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| (1) CARL FERENBACH<br>CHAIR | 6.00<br>4.00 | X | | X | | | | 0. | 0. | 0. |
| (2) SHELBY W. BONNIE<br>VICE CHAIR | 2.00<br>0. | X | | X | | | | 0. | 0. | 0. |
| (3) RUTH DEFRIES, PH. D.<br>VICE CHAIR | 2.00<br>0. | X | | X | | | | 0. | 0. | 0. |
| (4) HONORABLE THOMAS H. KEAN<br>VICE CHAIR | 2.00<br>2.00 | X | | X | | | | 0. | 0. | 0. |
| (5) ARTHUR P. COOLEY<br>SECRETARY | 2.00<br>0. | X | | X | | | | 0. | 0. | 0. |
| (6) HERB ALLEN<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (7) G. LEONARD BAKER JR.<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (8) JOSHUA BEKENSTEIN<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (9) MICHAEL BILLS<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (10) SALLY G. BINGHAM, M.DIV.<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (11) CHRISTOPHER A. COLE<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (12) CHRISTOPHER J. COSTELLO<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (13) LESLIE DACH<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (14) ANN DOERR (THRU 5/18)<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

| (A) Name and title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position: Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| (15) SUSAN FORD DORSEY<br>TRUSTEE | 2.00<br>2.00 | X | | | | | | 0. | 0. | 0. |
| (16) STANLEY DRUCKENMILLER<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (17) KIRSTEN J. FELDMAN<br>TRUSTEE | 2.00<br>2.00 | X | | | | | | 0. | 0. | 0. |
| (18) LYNN R. GOLDMAN, M.D., M.P.H.<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (19) CHARLES J. HAMILTON, JR., ESQ.<br>TRUSTEE | 2.00<br>2.00 | X | | | | | | 0. | 0. | 0. |
| (20) GRIFFITH R. HARSH, IV, M.D.<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (21) MARK W. HEISING<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (22) KRISTINE JOHNSON<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (23) LISA KEITH (FROM 7/18)<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (24) XUE LAN<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (25) RICHARD LAZARUS, ESQ.<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| **1b Sub-total** ▶ | | | | | | | | 0. | 0. | 0. |
| **c Total from continuation sheets to Part VII, Section A** ▶ | | | | | | | | 3,344,614. | 0. | 348,790. |
| **d Total (add lines 1b and 1c)** ▶ | | | | | | | | 3,344,614. | 0. | 348,790. |

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 215

| | | Yes | No |
|---|---|---|---|
| 3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual* | 3 | | X |
| 4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual* | 4 | X | |
| 5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person* | 5 | | X |

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A) Name and business address | (B) Description of services | (C) Compensation |
|---|---|---|
| ATTACHMENT 7 | | |
| | | |
| | | |
| | | |
| | | |

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶ 51

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

| (A) Name and title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| (26) ABBY LEIGH<br>TRUSTEE | 2.00<br>2.00 | X | | | | | | 0. | 0. | 0. |
| (27) KATHERINE LORENZ<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (28) FRANK E. LOY<br>TRUSTEE | 2.00<br>2.00 | X | | | | | | 0. | 0. | 0. |
| (29) DR. JANE LUBCHENCO (THRU 5/18)<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (30) RAY MABUS (FROM 1/18)<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (31) SUSAN MANDEL<br>TRUSTEE | 2.00<br>2.00 | X | | | | | | 0. | 0. | 0. |
| (32) KATHRYN MURDOCH<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (33) SUSAN OBERNDORF (FROM 10/17)<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (34) KENNETH OLDEN, PH.D.<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (35) SIGNE OSTBY<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (36) STEPHEN PACALA, PH.D.<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| **1b Sub-total** . . . . ▶ | | | | | | | | | | |
| **c Total from continuation sheets to Part VII, Section A** . . . ▶ | | | | | | | | | | |
| **d Total (add lines 1b and 1c)** . . . ▶ | | | | | | | | | | |

**2** Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 215

| | | | Yes | No |
|---|---|---|---|---|
| **3** | Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual* . . . | 3 | | X |
| **4** | For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual* . . . | 4 | X | |
| **5** | Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person* . . . | 5 | | X |

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A) Name and business address | (B) Description of services | (C) Compensation |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |

**2** Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

| (A) Name and title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| (37) JULIAN ROBERTSON, JR.<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (38) GINGER SALL (FROM 10/17)<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (39) PEGGY M. SHEPARD<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (40) LISE STRICKLER<br>TRUSTEE | 2.00<br>2.00 | X | | | | | | 0. | 0. | 0. |
| (41) DAVID VOGEL (FROM 10/17)<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (42) CHARLES F. WURSTER, PH.D.<br>TRUSTEE | 2.00<br>0. | X | | | | | | 0. | 0. | 0. |
| (43) FREDERIC D. KRUPP<br>PRESIDENT | 36.00<br>4.00 | | | X | | | | 665,748. | 0. | 54,173. |
| (44) ROBERT YOUNG (SEE SCH. O)<br>CONTROLLER & INTERIM CFO | 37.00<br>3.00 | | | X | | | | 158,455. | 0. | 31,295. |
| (45) JOHN MCGEEHAN (THRU 2/18)<br>CHIEF FINANCIAL OFFICER | 37.00<br>3.00 | | | X | | | | 284,732. | 0. | 35,910. |
| (46) GWEN RUTA<br>EXECUTIVE VP - INTERNATIONAL | 40.00<br>0. | | | X | | | | 327,720. | 0. | 28,008. |
| (47) DR. DANIEL DUDEK<br>VICE PRESIDENT OF ASIA | 40.00<br>0. | | | | | X | | 401,882. | 0. | 45,408. |
| **1b Sub-total** ▶ | | | | | | | | | | |
| **c Total from continuation sheets to Part VII, Section A** ▶ | | | | | | | | | | |
| **d Total (add lines 1b and 1c)** ▶ | | | | | | | | | | |

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 215

| | | Yes | No |
|---|---|---|---|
| 3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual* | 3 | | X |
| 4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual* | 4 | X | |
| 5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person* | 5 | | X |

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A) Name and business address | (B) Description of services | (C) Compensation |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

| (A) Name and title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| (48) CATHERINE NARDONE<br>CHIEF DEVELOPMENT OFFICER | 40.00<br>0. | | | | | X | | 401,741. | 0. | 37,307. |
| (49) JESSICA ISSACS (FROM 1/17)<br>CHIEF ADMINISTRATIVE OFFICER | 40.00<br>0. | | | | | X | | 399,671. | 0. | 25,356. |
| (50) ERIC POOLEY<br>SR VP FOR STRATEGY & COMMUN. | 40.00<br>0. | | | | | X | | 371,512. | 0. | 45,408. |
| (51) AMANDA LELAND<br>EXECUTIVE VP - PROGRAMS | 40.00<br>0. | | | | | X | | 333,153. | 0. | 45,925. |
| | | | | | | | | | | |
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| | | | | | | | | | | |

| | (D) | (E) | (F) |
|---|---|---|---|
| **1b Sub-total** . . . . . ▶ | | | |
| **c Total from continuation sheets to Part VII, Section A** . . . ▶ | | | |
| **d Total (add lines 1b and 1c)** . . . ▶ | | | |

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 215

| | | Yes | No |
|---|---|---|---|
| 3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual* . . . | 3 | | X |
| 4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual* . . . | 4 | X | |
| 5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person* . . . | 5 | | X |

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A) Name and business address | (B) Description of services | (C) Compensation |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶

## Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII . . . . . . ☐

| | | | | (A) Total revenue | (B) Related or exempt function revenue | (C) Unrelated business revenue | (D) Revenue excluded from tax under sections 512-514 |
|---|---|---|---|---|---|---|---|
| **Contributions, Gifts, Grants and Other Similar Amounts** | 1a Federated campaigns | 1a | | | | | |
| | b Membership dues | 1b | | | | | |
| | c Fundraising events | 1c | | | | | |
| | d Related organizations | 1d | | | | | |
| | e Government grants (contributions) | 1e | 833,174. | | | | |
| | f All other contributions, gifts, grants, and similar amounts not included above | 1f | 206,995,763. | | | | |
| | g Noncash contributions included in lines 1a-1f: $ | | 6,089,557. | | | | |
| | h **Total.** Add lines 1a-1f ▶ | | | 207,828,937. | | | |
| **Program Service Revenue** | | | Business Code | | | | |
| | 2a | | | | | | |
| | b | | | | | | |
| | c | | | | | | |
| | d | | | | | | |
| | e | | | | | | |
| | f All other program service revenue | | | | | | |
| | g **Total.** Add lines 2a-2f ▶ | | | 0. | | | |
| **Other Revenue** | 3 Investment income (including dividends, interest, and other similar amounts) ▶ | | | 1,334,695. | | | 1,334,695. |
| | 4 Income from investment of tax-exempt bond proceeds ▶ | | | 0. | | | |
| | 5 Royalties ▶ | | | -2,215. | | | -2,215. |
| | | (i) Real | (ii) Personal | | | | |
| | 6a Gross rents | | | | | | |
| | b Less: rental expenses | | | | | | |
| | c Rental income or (loss) | | | | | | |
| | d Net rental income or (loss) ▶ | | | 0. | | | |
| | | (i) Securities | (ii) Other | | | | |
| | 7a Gross amount from sales of assets other than inventory | 33,955,560. | | | | | |
| | b Less: cost or other basis and sales expenses | 33,537,746. | | | | | |
| | c Gain or (loss) | 417,814. | | | | | |
| | d Net gain or (loss) ▶ | | | 417,814. | | | 417,814. |
| | 8a Gross income from fundraising events (not including $ ______ of contributions reported on line 1c). See Part IV, line 18 | a | | | | | |
| | b Less: direct expenses | b | | | | | |
| | c Net income or (loss) from fundraising events ▶ | | | 0. | | | |
| | 9a Gross income from gaming activities. See Part IV, line 19 | a | | | | | |
| | b Less: direct expenses | b | | | | | |
| | c Net income or (loss) from gaming activities ▶ | | | 0. | | | |
| | 10a Gross sales of inventory, less returns and allowances | a | | | | | |
| | b Less: cost of goods sold | b | | | | | |
| | c Net income or (loss) from sales of inventory ▶ | | | 0. | | | |
| | Miscellaneous Revenue | | Business Code | | | | |
| | 11a LIST RENTAL FEES | | | 105,652. | | | 105,652. |
| | b MISCELLANEOUS REVENUE | | | 911,357. | | | 911,357. |
| | c | | | | | | |
| | d All other revenue | | | | | | |
| | e **Total.** Add lines 11a-11d ▶ | | | 1,017,009. | | | |
| | 12 **Total revenue.** See instructions ▶ | | | 210,596,240. | | | 2,767,303. |

**Part IX Statement of Functional Expenses**

*Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).*

Check if Schedule O contains a response or note to any line in this Part IX . . . . . . [X]

| *Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.* | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
|---|---|---|---|---|
| 1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 | 13,823,076. | 13,823,076. | | |
| 2 Grants and other assistance to domestic individuals. See Part IV, line 22 | 0. | | | |
| 3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16 | 6,811,022. | 6,811,022. | | |
| 4 Benefits paid to or for members | 0. | | | |
| 5 Compensation of current officers, directors, trustees, and key employees | 1,598,488. | 869,305. | 656,009. | 73,174. |
| 6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) | 0. | | | |
| 7 Other salaries and wages | 66,312,899. | 53,422,536. | 2,661,075. | 10,229,288. |
| 8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) | 3,368,290. | 2,575,961. | 179,114. | 613,215. |
| 9 Other employee benefits | 10,375,890. | 7,908,020. | 606,328. | 1,861,542. |
| 10 Payroll taxes | 5,185,429. | 3,943,885. | 314,394. | 927,150. |
| 11 Fees for services (non-employees): | | | | |
| a Management | 0. | | | |
| b Legal | 2,186,111. | 2,065,894. | 49,971. | 70,246. |
| c Accounting | 143,237. | | 143,237. | |
| d Lobbying | 89,203. | 89,203. | | |
| e Professional fundraising services. See Part IV, line 17 | 1,383,759. | | | 1,383,759. |
| f Investment management fees | 0. | | | |
| g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.) ATCH 8 | 29,567,502. | 29,378,937. | 569,884. | -381,319. |
| 12 Advertising and promotion | 2,572,657. | 2,505,033. | 42,749. | 24,875. |
| 13 Office expenses | 9,766,315. | 8,430,847. | 659,927. | 675,541. |
| 14 Information technology | 929,744. | 411,895. | 205,612. | 312,237. |
| 15 Royalties | 0. | | | |
| 16 Occupancy | 10,086,024. | 3,914,452. | 4,083,326. | 2,088,246. |
| 17 Travel | 7,150,700. | 6,099,944. | 206,118. | 844,638. |
| 18 Payments of travel or entertainment expenses for any federal, state, or local public officials | 0. | | | |
| 19 Conferences, conventions, and meetings | 4,712,409. | 3,673,133. | 210,576. | 828,700. |
| 20 Interest | 0. | | | |
| 21 Payments to affiliates | 0. | | | |
| 22 Depreciation, depletion, and amortization | 2,283,033. | 786,763. | 942,632. | 553,638. |
| 23 Insurance | 0. | | | |
| 24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.) | | | | |
| a SUBSCRIPTIONS & DUES | 2,525,029. | 1,895,335. | 303,410. | 326,284. |
| b GRANT RELATED EXPENSES | 722,230. | 408,819. | 94,584. | 218,827. |
| c MISCELLANEOUS EXPENSES | 2,017,184. | 1,367,059. | 430,118. | 220,007. |
| d | | | | |
| e All other expenses | | | | |
| 25 **Total functional expenses.** Add lines 1 through 24e | 183,610,231. | 150,381,119. | 12,359,064. | 20,870,048. |
| 26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶ [X] if following SOP 98-2 (ASC 958-720) | 12,916,271. | 10,046,975. | 1,298,185. | 1,571,111. |

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X. ☐

| | | | (A) Beginning of year | | (B) End of year |
|---|---|---|---|---|---|
| Assets | 1 | Cash - non-interest-bearing | 8,537,451. | 1 | 11,181,704. |
| | 2 | Savings and temporary cash investments | 66,143,051. | 2 | 48,128,132. |
| | 3 | Pledges and grants receivable, net | 91,951,750. | 3 | 122,674,049. |
| | 4 | Accounts receivable, net | 0. | 4 | 0. |
| | 5 | Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L | 0. | 5 | 0. |
| | 6 | Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L | 0. | 6 | 0. |
| | 7 | Notes and loans receivable, net | 0. | 7 | 0. |
| | 8 | Inventories for sale or use | 110,504. | 8 | 88,491. |
| | 9 | Prepaid expenses and deferred charges | 1,796,012. | 9 | 2,381,861. |
| | 10a | Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D — 10a 32,531,086. | | | |
| | b | Less: accumulated depreciation — 10b 17,451,206. | 11,926,983. | 10c | 15,079,880. |
| | 11 | Investments - publicly traded securities | 26,486,398. | 11 | 29,276,788. |
| | 12 | Investments - other securities. See Part IV, line 11 | 9,618,467. | 12 | 9,295,841. |
| | 13 | Investments - program-related. See Part IV, line 11 | 0. | 13 | 0. |
| | 14 | Intangible assets | 0. | 14 | 0. |
| | 15 | Other assets. See Part IV, line 11 | 4,549,008. | 15 | 7,254,724. |
| | 16 | **Total assets.** Add lines 1 through 15 (must equal line 34) | 221,119,624. | 16 | 245,361,470. |
| Liabilities | 17 | Accounts payable and accrued expenses | 13,448,184. | 17 | 11,579,589. |
| | 18 | Grants payable | 3,468,520. | 18 | 3,512,775. |
| | 19 | Deferred revenue | 3,261,838. | 19 | 3,522,058. |
| | 20 | Tax-exempt bond liabilities | 0. | 20 | 0. |
| | 21 | Escrow or custodial account liability. Complete Part IV of Schedule D | 0. | 21 | 0. |
| | 22 | Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L | 0. | 22 | 0. |
| | 23 | Secured mortgages and notes payable to unrelated third parties | 7,729,423. | 23 | 6,682,500. |
| | 24 | Unsecured notes and loans payable to unrelated third parties | 0. | 24 | 0. |
| | 25 | Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D | 8,147,775. | 25 | 8,088,339. |
| | 26 | **Total liabilities.** Add lines 17 through 25 | 36,055,740. | 26 | 33,385,261. |
| Net Assets or Fund Balances | | **Organizations that follow SFAS 117 (ASC 958), check here ▶ [X] and complete lines 27 through 29, and lines 33 and 34.** | | | |
| | 27 | Unrestricted net assets | 54,321,994. | 27 | 57,612,801. |
| | 28 | Temporarily restricted net assets | 123,420,950. | 28 | 147,039,457. |
| | 29 | Permanently restricted net assets | 7,320,940. | 29 | 7,323,951. |
| | | **Organizations that do not follow SFAS 117 (ASC 958), check here ▶ ☐ and complete lines 30 through 34.** | | | |
| | 30 | Capital stock or trust principal, or current funds | | 30 | |
| | 31 | Paid-in or capital surplus, or land, building, or equipment fund | | 31 | |
| | 32 | Retained earnings, endowment, accumulated income, or other funds | | 32 | |
| | 33 | Total net assets or fund balances | 185,063,884. | 33 | 211,976,209. |
| | 34 | Total liabilities and net assets/fund balances | 221,119,624. | 34 | 245,361,470. |

## Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI. . . . . . ☐

| | | | |
|---|---|---|---|
| 1 | Total revenue (must equal Part VIII, column (A), line 12) | 1 | 210,596,240. |
| 2 | Total expenses (must equal Part IX, column (A), line 25) | 2 | 183,610,231. |
| 3 | Revenue less expenses. Subtract line 2 from line 1 | 3 | 26,986,009. |
| 4 | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) | 4 | 185,063,884. |
| 5 | Net unrealized gains (losses) on investments | 5 | -73,684. |
| 6 | Donated services and use of facilities | 6 | 0. |
| 7 | Investment expenses | 7 | 0. |
| 8 | Prior period adjustments | 8 | 0. |
| 9 | Other changes in net assets or fund balances (explain in Schedule O) | 9 | 0. |
| 10 | Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B)) | 10 | 211,976,209. |

## Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII . . . . . ☐

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other<br>If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O. | | | |
| 2a | Were the organization's financial statements compiled or reviewed by an independent accountant? | 2a | | X |
| | If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:<br>☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis | | | |
| b | Were the organization's financial statements audited by an independent accountant? | 2b | X | |
| | If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:<br>☐ Separate basis ☒ Consolidated basis ☐ Both consolidated and separate basis | | | |
| c | If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? | 2c | X | |
| | If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O. | | | |
| 3a | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? | 3a | X | |
| b | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits. | 3b | X | |

**SCHEDULE A** (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

# Public Charity Status and Public Support

**Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.**
▶ **Attach to Form 990 or Form 990-EZ.**
▶ **Go to *www.irs.gov/Form990* for instructions and the latest information.**

OMB No. 1545-0047
**2017**
Open to Public Inspection

**Name of the organization**
ENVIRONMENTAL DEFENSE FUND, INCORPORATED

**Employer identification number**
11-6107128

## Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

1 [ ] A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 [ ] A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)

3 [ ] A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 [ ] A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state:

5 [ ] An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)

6 [ ] A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 [X] An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 [ ] A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

9 [ ] An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university:

10 [ ] An organization that normally receives: (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)

11 [ ] An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**

12 [ ] An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.

a [ ] **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**

b [ ] **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**

c [ ] **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**

d [ ] **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**

e [ ] Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

f Enter the number of supported organizations . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . .

g Provide the following information about the supported organization(s).

| | (i) Name of supported organization | (ii) EIN | (iii) Type of organization (described on lines 1-10 above (see instructions)) | (iv) Is the organization listed in your governing document? Yes | No | (v) Amount of monetary support (see instructions) | (vi) Amount of other support (see instructions) |
|---|---|---|---|---|---|---|---|
| (A) | | | | | | | |
| (B) | | | | | | | |
| (C) | | | | | | | |
| (D) | | | | | | | |
| (E) | | | | | | | |
| Total | | | | | | | |

**Part II** **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)** (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

### Section A. Public Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2013 | (b) 2014 | (c) 2015 | (d) 2016 | (e) 2017 | (f) Total |
|---|---|---|---|---|---|---|
| 1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") | 140,115,942. | 135,480,611. | 156,568,084. | 144,334,196. | 207,828,937. | 784,327,770. |
| 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | 0. |
| 3 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | 0. |
| 4 **Total.** Add lines 1 through 3 | 140,115,942. | 135,480,611. | 156,568,084. | 144,334,196. | 207,828,937. | 784,327,770. |
| 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) | | | | | | 117,189,378. |
| 6 **Public support.** Subtract line 5 from line 4 | | | | | | 667,138,392. |

### Section B. Total Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2013 | (b) 2014 | (c) 2015 | (d) 2016 | (e) 2017 | (f) Total |
|---|---|---|---|---|---|---|
| 7 Amounts from line 4 | 140,115,942. | 135,480,611. | 156,568,084. | 144,334,196. | 207,828,937. | 784,327,770. |
| 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources | 783,157. | 1,110,770. | 1,066,424. | 874,858. | 1,332,480. | 5,167,689. |
| 9 Net income from unrelated business activities, whether or not the business is regularly carried on | | | | | | 0. |
| 10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) ATCH 1 | 217,870. | 158,457. | 279,519. | 1,077,313. | 1,017,009. | 2,750,168. |
| 11 **Total support.** Add lines 7 through 10 | | | | | | 792,245,627. |

12 Gross receipts from related activities, etc. (see instructions) . . . 12

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** . . . ▶ ☐

### Section C. Computation of Public Support Percentage

14 Public support percentage for 2017 (line 6, column (f) divided by line 11, column (f)). . . 14 84.21 %

15 Public support percentage from 2016 Schedule A, Part II, line 14 . . . 15 83.15 %

16a **33 1/3 % support test - 2017.** If the organization did not check the box on line 13, and line 14 is 33 1/3 % or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . ▶ ☒

b **33 1/3 % support test - 2016.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3 % or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . ▶ ☐

17a **10%-facts-and-circumstances test - 2017.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . ▶ ☐

b **10%-facts-and-circumstances test - 2016.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . ▶ ☐

## Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

### Section A. Public Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2013 | (b) 2014 | (c) 2015 | (d) 2016 | (e) 2017 | (f) Total |
|---|---|---|---|---|---|---|
| 1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") | | | | | | |
| 2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | | | | | | |
| 3 Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | |
| 4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 5 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 6 **Total.** Add lines 1 through 5 | | | | | | |
| 7a Amounts included on lines 1, 2, and 3 received from disqualified persons | | | | | | |
| b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year | | | | | | |
| c Add lines 7a and 7b | | | | | | |
| 8 **Public support.** (Subtract line 7c from line 6.) | | | | | | |

### Section B. Total Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2013 | (b) 2014 | (c) 2015 | (d) 2016 | (e) 2017 | (f) Total |
|---|---|---|---|---|---|---|
| 9 Amounts from line 6 | | | | | | |
| 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources | | | | | | |
| b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 | | | | | | |
| c Add lines 10a and 10b | | | | | | |
| 11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | |
| 12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) | | | | | | |
| 13 **Total support.** (Add lines 9, 10c, 11, and 12.) | | | | | | |

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

### Section C. Computation of Public Support Percentage

| | | | |
|---|---|---|---|
| 15 | Public support percentage for 2017 (line 8, column (f) divided by line 13, column (f)) | 15 | % |
| 16 | Public support percentage from 2016 Schedule A, Part III, line 15 | 16 | % |

### Section D. Computation of Investment Income Percentage

| | | | |
|---|---|---|---|
| 17 | Investment income percentage for **2017** (line 10c, column (f) divided by line 13, column (f)) | 17 | % |
| 18 | Investment income percentage from **2016** Schedule A, Part III, line 17 | 18 | % |

19a **33 1/3 % support tests - 2017.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3 %, and line 17 is not more than 33 1/3 %, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3 % support tests - 2016.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3 %, and line 18 is not more than 33 1/3 %, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

**Part IV Supporting Organizations**

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Are all of the organization's supported organizations listed by name in the organization's governing documents? *If "No," describe in **Part VI** how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.* | 1 | | |
| 2 | Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? *If "Yes," explain in **Part VI** how the organization determined that the supported organization was described in section 509(a)(1) or (2).* | 2 | | |
| 3a | Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? *If "Yes," answer (b) and (c) below.* | 3a | | |
| b | Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? *If "Yes," describe in **Part VI** when and how the organization made the determination.* | 3b | | |
| c | Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? *If "Yes," explain in **Part VI** what controls the organization put in place to ensure such use.* | 3c | | |
| 4a | Was any supported organization not organized in the United States ("foreign supported organization")? *If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.* | 4a | | |
| b | Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? *If "Yes," describe in **Part VI** how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.* | 4b | | |
| c | Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? *If "Yes," explain in **Part VI** what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.* | 4c | | |
| 5a | Did the organization add, substitute, or remove any supported organizations during the tax year? *If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in **Part VI**, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).* | 5a | | |
| b | **Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document? | 5b | | |
| c | **Substitutions only.** Was the substitution the result of an event beyond the organization's control? | 5c | | |
| 6 | Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? *If "Yes," provide detail in **Part VI**.* | 6 | | |
| 7 | Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? *If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).* | 7 | | |
| 8 | Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? *If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).* | 8 | | |
| 9a | Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? *If "Yes," provide detail in **Part VI**.* | 9a | | |
| b | Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? *If "Yes," provide detail in **Part VI**.* | 9b | | |
| c | Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? *If "Yes," provide detail in **Part VI**.* | 9c | | |
| 10a | Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? *If "Yes," answer 10b below.* | 10a | | |
| b | Did the organization have any excess business holdings in the tax year? *(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)* | 10b | | |

**Part IV Supporting Organizations** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | Has the organization accepted a gift or contribution from any of the following persons? | | | |
| a | A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization? | 11a | | |
| b | A family member of a person described in (a) above? | 11b | | |
| c | A 35% controlled entity of a person described in (a) or (b) above? *If "Yes" to a, b, or c, provide detail in* ***Part VI.*** | 11c | | |

**Section B. Type I Supporting Organizations**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? *If "No," describe in* ***Part VI*** *how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.* | 1 | | |
| 2 | Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? *If "Yes," explain in* ***Part VI*** *how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.* | 2 | | |

**Section C. Type II Supporting Organizations**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? *If "No," describe in* ***Part VI*** *how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).* | 1 | | |

**Section D. All Type III Supporting Organizations**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? | 1 | | |
| 2 | Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? *If "No," explain in* ***Part VI*** *how the organization maintained a close and continuous working relationship with the supported organization(s).* | 2 | | |
| 3 | By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? *If "Yes," describe in* ***Part VI*** *the role the organization's supported organizations played in this regard.* | 3 | | |

**Section E. Type III Functionally Integrated Supporting Organizations**

1 *Check the box next to the method that the organization used to satisfy the Integral Part Test during the year* (**see instructions**).

a ☐ The organization satisfied the Activities Test. *Complete* ***line 2*** *below.*

b ☐ The organization is the parent of each of its supported organizations. *Complete* ***line 3*** *below.*

c ☐ The organization supported a governmental entity. *Describe in* ***Part VI*** *how you supported a government entity (see instructions).*

| | | | Yes | No |
|---|---|---|---|---|
| 2 | Activities Test. ***Answer (a) and (b) below.*** | | | |
| a | Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? *If "Yes," then in* ***Part VI identify those supported organizations and explain*** *how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.* | 2a | | |
| b | Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? *If "Yes," explain in* ***Part VI*** *the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.* | 2b | | |
| 3 | Parent of Supported Organizations. ***Answer (a) and (b) below.*** | | | |
| a | Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? *Provide details in* ***Part VI.*** | 3a | | |
| b | Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? *If "Yes," describe in* ***Part VI*** *the role played by the organization in this regard.* | 3b | | |

## Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

| Section A - Adjusted Net Income | | (A) Prior Year | (B) Current Year (optional) |
|---|---|---|---|
| 1 Net short-term capital gain | 1 | | |
| 2 Recoveries of prior-year distributions | 2 | | |
| 3 Other gross income (see instructions) | 3 | | |
| 4 Add lines 1 through 3. | 4 | | |
| 5 Depreciation and depletion | 5 | | |
| 6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | 6 | | |
| 7 Other expenses (see instructions) | 7 | | |
| 8 **Adjusted Net Income** (subtract lines 5, 6, and 7 from line 4). | 8 | | |

| Section B - Minimum Asset Amount | | (A) Prior Year | (B) Current Year (optional) |
|---|---|---|---|
| 1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year): | | | |
| a Average monthly value of securities | 1a | | |
| b Average monthly cash balances | 1b | | |
| c Fair market value of other non-exempt-use assets | 1c | | |
| d **Total** (add lines 1a, 1b, and 1c) | 1d | | |
| e **Discount** claimed for blockage or other factors (explain in detail in **Part VI**): | | | |
| 2 Acquisition indebtedness applicable to non-exempt-use assets | 2 | | |
| 3 Subtract line 2 from line 1d. | 3 | | |
| 4 Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions). | 4 | | |
| 5 Net value of non-exempt-use assets (subtract line 4 from line 3) | 5 | | |
| 6 Multiply line 5 by .035. | 6 | | |
| 7 Recoveries of prior-year distributions | 7 | | |
| 8 **Minimum Asset Amount** (add line 7 to line 6) | 8 | | |

| Section C - Distributable Amount | | | Current Year |
|---|---|---|---|
| 1 Adjusted net income for prior year (from Section A, line 8, Column A) | 1 | | |
| 2 Enter 85% of line 1. | 2 | | |
| 3 Minimum asset amount for prior year (from Section B, line 8, Column A) | 3 | | |
| 4 Enter greater of line 2 or line 3. | 4 | | |
| 5 Income tax imposed in prior year | 5 | | |
| 6 **Distributable Amount.** Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions). | 6 | | |

7 ☐ Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations** *(continued)*

| Section D - Distributions | | Current Year |
|---|---|---|
| 1 | Amounts paid to supported organizations to accomplish exempt purposes | |
| 2 | Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity | |
| 3 | Administrative expenses paid to accomplish exempt purposes of supported organizations | |
| 4 | Amounts paid to acquire exempt-use assets | |
| 5 | Qualified set-aside amounts (prior IRS approval required) | |
| 6 | Other distributions (describe in **Part VI**). See instructions. | |
| 7 | **Total annual distributions.** Add lines 1 through 6. | |
| 8 | Distributions to attentive supported organizations to which the organization is responsive (provide details in **Part VI**). See instructions. | |
| 9 | Distributable amount for 2017 from Section C, line 6 | |
| 10 | Line 8 amount divided by Line 9 amount | |

| | Section E - Distribution Allocations (see instructions) | (i) Excess Distributions | (ii) Underdistributions Pre-2017 | (iii) Distributable Amount for 2017 |
|---|---|---|---|---|
| 1 | Distributable amount for 2017 from Section C, line 6 | | | |
| 2 | Underdistributions, if any, for years prior to 2017 (reasonable cause required-explain in **Part VI**). See instructions. | | | |
| 3 | Excess distributions carryover, if any, to 2017 | | | |
| a | | | | |
| b | From 2013 . . . . . . . | | | |
| c | From 2014 . . . . . . . | | | |
| d | From 2015 . . . . . . . | | | |
| e | From 2016 . . . . . . . | | | |
| f | **Total** of lines 3a through e | | | |
| g | Applied to underdistributions of prior years | | | |
| h | Applied to 2017 distributable amount | | | |
| i | Carryover from 2012 not applied (see instructions) | | | |
| j | Remainder. Subtract lines 3g, 3h, and 3i from 3f. | | | |
| 4 | Distributions for 2017 from Section D, line 7: $ | | | |
| a | Applied to underdistributions of prior years | | | |
| b | Applied to 2017 distributable amount | | | |
| c | Remainder. Subtract lines 4a and 4b from 4. | | | |
| 5 | Remaining underdistributions for years prior to 2017, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in **Part VI**. See instructions. | | | |
| 6 | Remaining underdistributions for 2017. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in **Part VI**. See instructions. | | | |
| 7 | **Excess distributions carryover to 2018**. Add lines 3j and 4c. | | | |
| 8 | Breakdown of line 7: | | | |
| a | Excess from 2013. . . . | | | |
| b | Excess from 2014. . . . | | | |
| c | Excess from 2015. . . . | | | |
| d | Excess from 2016. . . . | | | |
| e | Excess from 2017. . . . | | | |

**Part VI** **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

ATTACHMENT 1

SCHEDULE A, PART II - OTHER INCOME

| DESCRIPTION | 2013 | 2014 | 2015 | 2016 | 2017 | TOTAL |
|---|---|---|---|---|---|---|
| OTHER REVENUE | 217,870. | 158,457. | 279,519. | 1,077,313. | 1,017,009. | 2,750,168. |
| TOTALS | 217,870. | 158,457. | 279,519. | 1,077,313. | 1,017,009. | 2,750,168. |

**Schedule B**
**(Form 990, 990-EZ, or 990-PF)**
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047

**2017**

**Name of the organization**
ENVIRONMENTAL DEFENSE FUND, INCORPORATED

**Employer identification number**
11-6107128

**Organization type** (check one):

| **Filers of:** | **Section:** |
|---|---|
| Form 990 or 990-EZ | [X] 501(c)( 3 ) (enter number) organization |
| | [ ] 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | [ ] 527 political organization |
| Form 990-PF | [ ] 501(c)(3) exempt private foundation |
| | [ ] 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | [ ] 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

[ ] For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

[X] For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

[ ] For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

[ ] For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ $ ____________

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number 11-6107128

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | | $ 36,000,000. | Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.) |
| 2 | | $ 20,000,000. | Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.) |
| 3 | | $ 18,450,000. | Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.) |
| 4 | | $ 17,563,750. | Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.) |
| 5 | | $ 10,250,000. | Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.) |
| 6 | | $ 5,131,000. | Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.) |

Name of organization ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number 11-6107128

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|---|---|---|---|
| 7 | | $ 4,500,000. | Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.) |
| 8 | | $ 4,500,000. | Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.) |
| 9 | | $ 4,155,609. | Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.) |
| | | $ | Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.) |
| | | $ | Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.) |
| | | $ | Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.) |

Name of organization ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number 11-6107128

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---|---|---|---|
| | | $ | |

Name of organization ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number 11-6107128

**Part III** ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e)** and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $ ________

Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

**SCHEDULE C**
**(Form 990 or 990-EZ)**

Department of the Treasury
Internal Revenue Service

# Political Campaign and Lobbying Activities

**For Organizations Exempt From Income Tax Under section 501(c) and section 527**

▶ Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.
▶ Go to *www.irs.gov/Form990* for instructions and the latest information.

OMB No. 1545-0047

**2017**

**Open to Public Inspection**

**If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then**
- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

**If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**
- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

**If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then**
- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

| Name of organization | Employer identification number |
|---|---|
| ENVIRONMENTAL DEFENSE FUND, INCORPORATED | 11-6107128 |

## Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV. (see instructions for definition of "political campaign activities")

2 Political campaign activity expenditures (see instructions) . . . ▶ $ ______

3 Volunteer hours for political campaign activities (see instructions) . . . ______

## Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 . . . ▶ $ ______

2 Enter the amount of any excise tax incurred by organization managers under section 4955 . . ▶ $ ______

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? . . . ☐ Yes ☐ No

4a Was a correction made? . . . ☐ Yes ☐ No

b If "Yes," describe in Part IV.

## Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities . . . ▶ $ ______

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities . . . ▶ $ ______

3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b . . . ▶ $ ______

4 Did the filing organization file **Form 1120-POL** for this year? . . . ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

| | (a) Name | (b) Address | (c) EIN | (d) Amount paid from filing organization's funds. If none, enter -0-. | (e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-. |
|---|---|---|---|---|---|
| (1) | | | | | |
| (2) | | | | | |
| (3) | | | | | |
| (4) | | | | | |
| (5) | | | | | |
| (6) | | | | | |

**Part II-A** **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ▶ ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply.

| **Limits on Lobbying Expenditures** (The term "expenditures" means amounts paid or incurred.) | (a) Filing organization's totals | (b) Affiliated group totals |
|---|---|---|
| 1a Total lobbying expenditures to influence public opinion (grass roots lobbying) | | |
| b Total lobbying expenditures to influence a legislative body (direct lobbying) | | |
| c Total lobbying expenditures (add lines 1a and 1b) | | |
| d Other exempt purpose expenditures | | |
| e Total exempt purpose expenditures (add lines 1c and 1d) | | |
| f Lobbying nontaxable amount. Enter the amount from the following table in both columns. | | |

| If the amount on line 1e, column (a) or (b) is: | The lobbying nontaxable amount is: |
|---|---|
| Not over $500,000 | 20% of the amount on line 1e. |
| Over $500,000 but not over $1,000,000 | $100,000 plus 15% of the excess over $500,000. |
| Over $1,000,000 but not over $1,500,000 | $175,000 plus 10% of the excess over $1,000,000. |
| Over $1,500,000 but not over $17,000,000 | $225,000 plus 5% of the excess over $1,500,000. |
| Over $17,000,000 | $1,000,000. |

| | (a) | (b) |
|---|---|---|
| g Grassroots nontaxable amount (enter 25% of line 1f) | | |
| h Subtract line 1g from line 1a. If zero or less, enter -0- | | |
| i Subtract line 1f from line 1c. If zero or less, enter -0- | | |

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ Yes ☐ No

**4-Year Averaging Period Under section 501(h)**

**(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)**

| **Lobbying Expenditures During 4-Year Averaging Period** | | | | | |
|---|---|---|---|---|---|
| Calendar year (or fiscal year beginning in) | (a) 2014 | (b) 2015 | (c) 2016 | (d) 2017 | (e) Total |
| 2a Lobbying nontaxable amount | | | | | |
| b Lobbying ceiling amount (150% of line 2a, column (e)) | | | | | |
| c Total lobbying expenditures | | | | | |
| d Grassroots nontaxable amount | | | | | |
| e Grassroots ceiling amount (150% of line 2d, column (e)) | | | | | |
| f Grassroots lobbying expenditures | | | | | |

**Part II-B** **Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

| *For each "Yes," response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.* | (a) Yes | (a) No | (b) Amount |
|---|---|---|---|
| 1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of: | | | |
| a Volunteers? | | X | |
| b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)? | X | | |
| c Media advertisements? | X | | 1,000. |
| d Mailings to members, legislators, or the public? | | X | |
| e Publications, or published or broadcast statements? | | X | |
| f Grants to other organizations for lobbying purposes? | X | | 2,311,406. |
| g Direct contact with legislators, their staffs, government officials, or a legislative body? | X | | 50,633. |
| h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means? | X | | 7,473. |
| i Other activities? | X | | 89,203. |
| j Total. Add lines 1c through 1i | | | 2,459,715. |
| 2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? | | X | |
| b If "Yes," enter the amount of any tax incurred under section 4912 | | | |
| c If "Yes," enter the amount of any tax incurred by organization managers under section 4912 | | | |
| d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year? | | | |

**Part III-A** **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

| | | Yes | No |
|---|---|---|---|
| 1 Were substantially all (90% or more) dues received nondeductible by members? | 1 | | |
| 2 Did the organization make only in-house lobbying expenditures of $2,000 or less? | 2 | | |
| 3 Did the organization agree to carry over lobbying and political campaign activity expenditures from the prior year? | 3 | | |

**Part III-B** **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."**

| | | |
|---|---|---|
| 1 Dues, assessments and similar amounts from members | 1 | |
| 2 Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).** | | |
| a Current year | 2a | |
| b Carryover from last year | 2b | |
| c Total | 2c | |
| 3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues | 3 | |
| 4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? | 4 | |
| 5 Taxable amount of lobbying and political expenditures (see instructions) | 5 | |

**Part IV** **Supplemental Information**

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.

SEE PAGE 4

**Part IV Supplemental Information** *(continued)*

PART II-B, LINE 1:

ENVIRONMENTAL DEFENSE FUND (EDF) ENGAGES IN LIMITED AMOUNTS OF LOBBYING ACTIVITIES TO FURTHER ITS MISSION TO PRESERVE THE NATURAL SYSTEMS ON WHICH ALL LIFE DEPENDS. IN FY2018, EDF SPENT A TOTAL OF $2,459,715, WHICH COMPRISES 1.34% OF ITS TOTAL EXPENDITURES, ON INFLUENCING LEGISLATION.

CONSISTENT WITH ITS TAX-EXEMPT PURPOSE, EDF'S LOBBYING ACTIVITIES WERE FOCUSED ON THE PROTECTION OF THE ENVIRONMENT, HUMAN HEALTH, AND WILDLIFE. DURING FY2018, EDF HELD THE LINE ON ENVIRONMENTAL PROTECTIONS IN AN ERA OF ENVIRONMENTAL ASSAULT. EDF WAS SUCCESSFUL IN ENSURING BEDROCK STATUTORY PROTECTIONS REMAINED IN PLACE THOUGH THE CONFLICT WITH THE ENVIRONMENT CONTINUES. EDF WILL CONTINUE TO FIND PRACTICAL AND LASTING SOLUTIONS TO THE MOST SERIOUS ENVIRONMENTAL PROBLEMS. EDF WORKED TO SAVE THE ENVIRONMENTAL PROTECTION AGENCY FROM BUDGET CUTS, ENSURED THE PRESERVATION OF THE BUREAU OF LAND MANAGEMENT'S METHANE AND WASTE PREVENTION RULE, AND SAFEGUARDED AGAINST THE ROLLBACKS OF OTHER FEDERAL ENVIRONMENTAL PROTECTION LAWS. EDF WAS ALSO ACTIVE IN VOICING ITS POSITION ON NOMINATIONS FOR FEDERAL ENVIRONMENTAL POSTS. MUCH OF THE LOBBYING WORK AROUND THESE ISSUES WAS CARRIED OUT BY MOMS CLEAN AIR FORCE, A SPECIAL PROJECT OF EDF.

IN ADDITION TO EFFORTS FOCUSED ON FEDERAL LEGISLATION, EDF WAS ACTIVE DURING FY2018 AT THE STATE-LEVEL, INCLUDING IN THE STATES OF CALIFORNIA, OHIO, PENNSYLVANIA, NEW JERSEY, AND NEW MEXICO. THE WORK IN CALIFORNIA WAS DIRECTED TOWARDS ADOPTING A 100% CARBON FREE ENERGY STANDARD WITH THE SUCCESSFUL PASSAGE OF SB 100, AND IN PROTECTING COMMUNITIES AND THE

**Part IV Supplemental Information** *(continued)*

CLIMATE FROM OIL AND GAS POLLUTION WITH THE PASSAGE OF AB 2195 AND THE ATTEMPTED PASSAGE OF AB 3146. THE FINDINGS ALSO HELPED SHAPE NEW STATE REGULATIONS IN COLORADO, PENNSYLVANIA AND WYOMING, ALONG WITH THE FIRST NATIONAL STANDARDS TO REDUCE EMISSIONS FROM OIL AND NATURAL GAS PRODUCTION. ADDITIONAL EFFORTS WERE CARRIED OUT IN NEW JERSEY FOR A CLEAN ENERGY FUTURE, AND IN OHIO EDF ALSO HELPED STOP MASSIVE COAL BAILOUTS.

EDF ACCOMPLISHES ITS LOBBYING OBJECTIVES THROUGH BOTH IN-HOUSE STAFF AND OUTSIDE CONSULTANTS, WHO ASSIST WITH STRATEGIZING AND PLANNING, AND THROUGH GRASSROOTS AND DIRECT COMMUNICATIONS.

EDF'S LARGEST LOBBY EXPENDITURE DURING FY2018 WAS A GRANT OF $2.3M PROVIDED TO ENVIRONMENTAL DEFENSE ACTION FUND (EDAF), THE POLITICAL ACTION PARTNER AND AFFILIATED 501(C)(4) ORGANIZATION OF EDF. THE PURPOSE OF THE GRANT WAS TO PROVIDE GENERAL SUPPORT FOR THE EDUCATIONAL AND LOBBYING ACTIVITIES OF EDAF IN FURTHERANCE OF ITS MISSION, WHICH IS CONSISTENT WITH THE SHARED PROGRAM OBJECTIVES OF EDF AND EDAF. AS REQUIRED BY LAW, EDAF IS RESTRICTED FROM USING ANY OF THE EDF LOBBY GRANT FUNDS FOR POLITICAL CAMPAIGN ACTIVITIES UNDER THE TERMS OF THE GRANT AGREEMENT BETWEEN EDF AND EDAF.

**SCHEDULE D (Form 990)**

Department of the Treasury
Internal Revenue Service

# Supplemental Financial Statements

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.
▶ Go to *www.irs.gov/Form990* for instructions and the latest information.

OMB No. 1545-0047
**2017**
**Open to Public Inspection**

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED
Employer identification number: 11-6107128

## Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

| | | (a) Donor advised funds | (b) Funds and other accounts |
|---|---|---|---|
| 1 | Total number at end of year | | |
| 2 | Aggregate value of contributions to (during year) | | |
| 3 | Aggregate value of grants from (during year) | | |
| 4 | Aggregate value at end of year | | |

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

## Part II Conservation Easements.
Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).
- ☐ Preservation of land for public use (e.g., recreation or education)
- ☐ Protection of natural habitat
- ☐ Preservation of open space
- ☐ Preservation of a historically important land area
- ☐ Preservation of a certified historic structure

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

| | | | Held at the End of the Tax Year |
|---|---|---|---|
| a | Total number of conservation easements | 2a | |
| b | Total acreage restricted by conservation easements | 2b | |
| c | Number of conservation easements on a certified historic structure included in (a) | 2c | |
| d | Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register | 2d | |

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ ______

4 Number of states where property subject to conservation easement is located ▶ ______

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ ______

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ $ ______

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

## Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 ▶ $ ______

(ii) Assets included in Form 990, Part X ▶ $ ______

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included on Form 990, Part VIII, line 1 ▶ $ ______

b Assets included in Form 990, Part X ▶ $ ______

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other ______

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? . . . . . . ☐ Yes ☐ No

**Part IV Escrow and Custodial Arrangements.**
Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? . . . ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

| | | Amount |
|---|---|---|
| c Beginning balance | 1c | |
| d Additions during the year | 1d | |
| e Distributions during the year | 1e | |
| f Ending balance | 1f | |

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII . . . . . . . . . . ☐

**Part V Endowment Funds.**
Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

| | (a) Current year | (b) Prior year | (c) Two years back | (d) Three years back | (e) Four years back |
|---|---|---|---|---|---|
| 1a Beginning of year balance | 13,242,230. | 12,453,146. | 12,170,777. | 7,492,238. | 6,401,844. |
| b Contributions | 3,011. | 27,521. | | | |
| c Net investment earnings, gains, and losses | 1,073,741. | 1,504,407. | 598,267. | 377,594. | 1,335,610. |
| d Grants or scholarships | | | | | |
| e Other expenditures for facilities and programs | 597,979. | 742,844. | 315,898. | 282,629. | 245,216. |
| f Administrative expenses | | | | | |
| g End of year balance | 13,721,003. | 13,242,230. | 12,453,146. | 7,587,203. | 7,492,238. |

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment ▶ ______ %

b Permanent endowment ▶ 53.3800 %

c Temporarily restricted endowment ▶ 46.6200 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

| | | Yes | No |
|---|---|---|---|
| (i) unrelated organizations | 3a(i) | | X |
| (ii) related organizations | 3a(ii) | | X |
| b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? | 3b | | |

4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**
Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

| Description of property | (a) Cost or other basis (investment) | (b) Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
|---|---|---|---|---|
| 1a Land | | | | |
| b Buildings | | 393,319. | 92,430. | 300,889. |
| c Leasehold improvements | | 21,100,372. | 8,448,436. | 12,651,936. |
| d Equipment | | 5,626,271. | 4,117,304. | 1,508,967. |
| e Other | | 5,411,124. | 4,793,036. | 618,088. |
| **Total.** Add lines 1a through 1e. *(Column (d) must equal Form 990, Part X, column (B), line 10c.)* ▶ | | | | 15,079,880. |

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

| (a) Description of security or category (including name of security) | (b) Book value | (c) Method of valuation: Cost or end-of-year market value |
|---|---|---|
| (1) Financial derivatives | | |
| (2) Closely-held equity interests | | |
| (3) Other | | |
| (A) | | |
| (B) | | |
| (C) | | |
| (D) | | |
| (E) | | |
| (F) | | |
| (G) | | |
| (H) | | |
| **Total.** *(Column (b) must equal Form 990, Part X, col. (B) line 12.)* ▶ | | |

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

| (a) Description of investment | (b) Book value | (c) Method of valuation: Cost or end-of-year market value |
|---|---|---|
| (1) | | |
| (2) | | |
| (3) | | |
| (4) | | |
| (5) | | |
| (6) | | |
| (7) | | |
| (8) | | |
| (9) | | |
| **Total.** *(Column (b) must equal Form 990, Part X, col. (B) line 13.)* ▶ | | |

**Part IX Other Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

| (a) Description | (b) Book value |
|---|---|
| (1) | |
| (2) | |
| (3) | |
| (4) | |
| (5) | |
| (6) | |
| (7) | |
| (8) | |
| (9) | |
| **Total.** *(Column (b) must equal Form 990, Part X, col. (B) line 15.)* ▶ | |

**Part X Other Liabilities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

| 1. (a) Description of liability | (b) Book value |
|---|---|
| (1) Federal income taxes | |
| (2) ANNUITIES PAYABLE | 4,407,795. |
| (3) RETIREMENT PLAN LIABILITY | 3,680,544. |
| (4) | |
| (5) | |
| (6) | |
| (7) | |
| (8) | |
| (9) | |
| **Total.** *(Column (b) must equal Form 990, Part X, col. (B) line 25.)* ▶ | 8,088,339. |

**2.** Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII [X]

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.** Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

| | | | | | |
|---|---|---|---|---|---|
| 1 | Total revenue, gains, and other support per audited financial statements | | | 1 | 210,535,941. |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12: | | | | |
| a | Net unrealized gains (losses) on investments | 2a | -73,684. | | |
| b | Donated services and use of facilities | 2b | 13,385. | | |
| c | Recoveries of prior year grants | 2c | | | |
| d | Other (Describe in Part XIII.) | 2d | | | |
| e | Add lines **2a** through **2d** | | | 2e | -60,299. |
| 3 | Subtract line **2e** from line **1** | | | 3 | 210,596,240. |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line 1: | | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | | | |
| b | Other (Describe in Part XIII.) | 4b | | | |
| c | Add lines **4a** and **4b** | | | 4c | |
| 5 | Total revenue. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 12.)* | | | 5 | 210,596,240. |

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.** Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

| | | | | | |
|---|---|---|---|---|---|
| 1 | Total expenses and losses per audited financial statements | | | 1 | 183,623,616. |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25: | | | | |
| a | Donated services and use of facilities | 2a | 13,385. | | |
| b | Prior year adjustments | 2b | | | |
| c | Other losses | 2c | | | |
| d | Other (Describe in Part XIII.) | 2d | | | |
| e | Add lines **2a** through **2d** | | | 2e | 13,385. |
| 3 | Subtract line **2e** from line **1** | | | 3 | 183,610,231. |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line 1: | | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | | | |
| b | Other (Describe in Part XIII.) | 4b | | | |
| c | Add lines **4a** and **4b** | | | 4c | |
| 5 | Total expenses. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 18.)* | | | 5 | 183,610,231. |

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

SEE PAGE 5

**Part XIII Supplemental Information** *(continued)*

PART V, LINE 4:

ENVIRONMENTAL DEFENSE FUND, INC.'S ENDOWMENT CONSISTS OF TWENTY-ONE INDIVIDUAL FUNDS, ESTABLISHED FOR A VARIETY OF PURPOSES AND CONSISTING ENTIRELY OF DONOR-RESTRICTED FUNDS. THE ORGANIZATION HAS ADOPTED INVESTMENT AND SPENDING POLICIES FOR ENDOWMENT ASSETS THAT ATTEMPT TO PROVIDE A PREDICTABLE STREAM OF FUNDING FOR PROGRAMS SUPPORTED BY ITS ENDOWMENT WHILE SEEKING TO MAINTAIN THE PURCHASING POWER OF THE ENDOWMENT ASSETS. UNDER THIS POLICY, AS APPROVED BY THE BOARD OF TRUSTEES, THE ENDOWMENT ASSETS ARE INVESTED WITH A FOCUS ON EARNING MARKET RETURNS OR BETTER WHILE ASSUMING A MODERATE LEVEL OF INVESTMENT RISK.

PART X, LINE 2:

IN ACCORDANCE WITH U.S. GAAP, ENVIRONMENTAL DEFENSE FUND, INC. (THE "REPORTING ORGANIZATION") MUST RECOGNIZE A TAX LIABILITY ASSOCIATED WITH TAX POSITIONS TAKEN FOR TAX RETURN PURPOSES WHEN IT IS MORE LIKELY THAN NOT THAT THE POSITION WILL NOT BE SUSTAINED UPON EXAMINATION BY A TAXING AUTHORITY. THE REPORTING ORGANIZATION DOES NOT BELIEVE IT HAS TAKEN ANY MATERIAL UNCERTAIN TAX POSITIONS AND, ACCORDINGLY, IT HAS NOT RECORDED ANY LIABILITY FOR UNRECOGNIZED TAX BENEFITS.

**SCHEDULE F (Form 990)**

Department of the Treasury
Internal Revenue Service

# Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
▶ Attach to Form 990.
▶ Go to *www.irs.gov/Form990* for instructions and the latest information.

OMB No. 1545-0047

**2017**

**Open to Public Inspection**

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number: 11-6107128

**Part I** **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . [X] Yes [ ] No

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

| | (a) Region | (b) Number of offices in the region | (c) Number of employees, agents, and independent contractors in the region | (d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in the region | (f) Total expenditures for and investments in the region |
|---|---|---|---|---|---|---|
| (1) | EUROPE | 1. | 10. | GRANTMAKING | | 3,622,437. |
| (2) | SOUTH AMERICA | 0. | 0. | GRANTMAKING | | 2,533,795. |
| (3) | NORTH AMERICA | 1. | 11. | GRANTMAKING | | 607,790. |
| (4) | EAST ASIA AND THE PACIFIC | 1. | 23. | GRANTMAKING | | 47,000. |
| (5) | | | | | | |
| (6) | | | | | | |
| (7) | | | | | | |
| (8) | | | | | | |
| (9) | | | | | | |
| (10) | | | | | | |
| (11) | | | | | | |
| (12) | | | | | | |
| (13) | | | | | | |
| (14) | | | | | | |
| (15) | | | | | | |
| (16) | | | | | | |
| (17) | | | | | | |
| 3a | Sub-total . . . . . . . . . . . | 3. | 44. | | | 6,811,022. |
| b | Total from continuation sheets to Part I . . . . . . . | | | | | |
| c | **Totals** (add lines 3a and 3b) | 3. | 44. | | | 6,811,022. |

**Part II** **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

| 1 (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of noncash assistance | (h) Description of noncash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| (1) | | EAST ASIA/PACIFIC | OCEANS | 17,000. | | | | |
| (2) | | EAST ASIA/PACIFIC | OCEANS | 14,000. | | | | |
| (3) | | EAST ASIA/PACIFIC | OCEANS | 16,000. | | | | |
| (4) | | EUROPE/ICELAND/GREENLAND | OPERATIONS SUPPORT | 2,312,456. | | | | |
| (5) | | EUROPE/ICELAND/GREENLAND | CLIMATE & ENERGY | 76,455. | | | | |
| (6) | | EUROPE/ICELAND/GREENLAND | CLIMATE & ENERGY | 29,640. | | | | |
| (7) | | EUROPE/ICELAND/GREENLAND | CLIMATE & ENERGY | 43,233. | | | | |
| (8) | | EUROPE/ICELAND/GREENLAND | OFFICE OF CHIEF SCIEN. | 1,160,653. | | | | |
| (9) | | NORTH AMERICA | CLIMATE & ENERGY | 60,497. | | | | |
| (10) | | NORTH AMERICA | CLIMATE & ENERGY | 354,000. | | | | |
| (11) | | NORTH AMERICA | CLIMATE & ENERGY | 70,000. | | | | |
| (12) | | NORTH AMERICA | CLIMATE & ENERGY | 103,293. | | | | |
| (13) | | NORTH AMERICA | ECOSYSTEMS | 20,000. | | | | |
| (14) | | SOUTH AMERICA | CLIMATE & ENERGY | 110,450. | | | | |
| (15) | | SOUTH AMERICA | CLIMATE & ENERGY | 25,000. | | | | |
| (16) | | SOUTH AMERICA | EDF+ BIZ | 18,125. | | | | |

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . . . . . . . . . . . . . . . . . ▶

3 Enter total number of other organizations or entities . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶

**Part II** **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

| 1 | (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of noncash assistance | (h) Description of noncash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|---|
| (1) | | | SOUTH AMERICA | CLIMATE & ENERGY | 173,628. | | | | |
| (2) | | | SOUTH AMERICA | CLIMATE & ENERGY | 19,381. | | | | |
| (3) | | | SOUTH AMERICA | CLIMATE & ENERGY | 77,108. | | | | |
| (4) | | | SOUTH AMERICA | CLIMATE & ENERGY | 150,574. | | | | |
| (5) | | | SOUTH AMERICA | CLIMATE & ENERGY | 153,180. | | | | |
| (6) | | | SOUTH AMERICA | CLIMATE & ENERGY | 131,180. | | | | |
| (7) | | | SOUTH AMERICA | CLIMATE & ENERGY | 1,465,169. | | | | |
| (8) | | | SOUTH AMERICA | OCEANS | 100,000. | | | | |
| (9) | | | SOUTH AMERICA | OCEANS | 110,000. | | | | |
| (10) | | | | | | | | | |
| (11) | | | | | | | | | |
| (12) | | | | | | | | | |
| (13) | | | | | | | | | |
| (14) | | | | | | | | | |
| (15) | | | | | | | | | |
| (16) | | | | | | | | | |

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . . . . . . ▶ 25.

3 Enter total number of other organizations or entities . . . . . . . . . . ▶

**Part III** **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance | (b) Region | (c) Number of recipients | (d) Amount of cash grant | (e) Manner of cash disbursement | (f) Amount of noncash assistance | (g) Description of noncash assistance | (h) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|
| (1) | | | | | | | |
| (2) | | | | | | | |
| (3) | | | | | | | |
| (4) | | | | | | | |
| (5) | | | | | | | |
| (6) | | | | | | | |
| (7) | | | | | | | |
| (8) | | | | | | | |
| (9) | | | | | | | |
| (10) | | | | | | | |
| (11) | | | | | | | |
| (12) | | | | | | | |
| (13) | | | | | | | |
| (14) | | | | | | | |
| (15) | | | | | | | |
| (16) | | | | | | | |
| (17) | | | | | | | |
| (18) | | | | | | | |

## Part IV Foreign Forms

1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* . . . . . [X] Yes [ ] No

2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)* . . . . . [ ] Yes [X] No

3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471)* . . . . . [X] Yes [ ] No

4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* . . . . . [ ] Yes [X] No

5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* . . . . . [ ] Yes [X] No

6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990)* . . . . . [ ] Yes [X] No

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

PART I, LINE 2:

ENVIRONMENTAL DEFENSE FUND, INC.'S ("EDF") HAS A NUMBER OF GRANTS AND OTHER ASSISTANCE IT PROVIDES TO OTHER ENTITIES. THE MAJORITY OF THESE GRANTS ARE TO OTHER ENVIRONMENTAL AND LIKE-MINDED ENTITIES THAT PERFORM WORK ALONGSIDE OF EDF IN THE ACCOMPLISHMENT OF ITS MISSION. EDF MONITORS THE PERFORMANCE OF THE GRANT RECIPIENTS BY WRITTEN REPORTS, SITE VISITS, VERBAL COMMUNICATION AND REVIEW. PARTIAL PAYMENTS ARE TYPICALLY MADE ON A GRANT UNTIL A PATTERN OF PROVEN ACHIEVEMENTS ON OBJECTIVES IS DEMONSTRATED. IN THE END EDF TYPICALLY PREPARES A REPORT TO FUNDING ENTITIES ON THE USE OF GRANT FUNDS BOTH BY ITSELF AND BY ANY GRANT RECIPIENTS.

**SCHEDULE G (Form 990 or 990-EZ)**

Department of the Treasury
Internal Revenue Service

# Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" on Form 990, Part IV, line 17, 18, or 19, or if the organization entered more than $15,000 on Form 990-EZ, line 6a.

▶ Attach to Form 990 or Form 990-EZ.

▶ Go to *www.irs.gov/Form990* for the latest instructions.

OMB No. 1545-0047

**2017**

Open to Public Inspection

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number: 11-6107128

**Part I** **Fundraising Activities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a [X] Mail solicitations
- b [X] Internet and email solicitations
- c [X] Phone solicitations
- d [X] In-person solicitations
- e [X] Solicitation of non-government grants
- f [X] Solicitation of government grants
- g [ ] Special fundraising events

2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees, or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? [X] Yes [ ] No

b If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least $5,000 by the organization.

| (i) Name and address of individual or entity (fundraiser) | (ii) Activity | (iii) Did fundraiser have custody or control of contributions? Yes | No | (iv) Gross receipts from activity | (v) Amount paid to (or retained by) fundraiser listed in col. (i) | (vi) Amount paid to (or retained by) organization |
|---|---|---|---|---|---|---|
| 1 ATTACHMENT 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
| 6 | | | | | | |
| 7 | | | | | | |
| 8 | | | | | | |
| 9 | | | | | | |
| 10 | | | | | | |
| **Total** ▶ | | | | 797,148. | 1,383,759. | -586,611. |

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

AL,AK,AZ,CA,CO,CT,FL,GA,HI,IL,
KS,KY,LA,ME,MD,MA,MI,MN,MS,NV,NH,NJ,NM,NY,NC,ND,OH,
OK,OR,PA,RI,SC,TN,UT,VA,WA,WV,WI,

**Part II** **Fundraising Events.** Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than $15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than $5,000.

| | | (a) Event #1 (event type) | (b) Event #2 (event type) | (c) Other events (total number) | (d) Total events (add col. (a) through col. (c)) |
|---|---|---|---|---|---|
| Revenue | 1 Gross receipts | | | | |
| | 2 Less: Contributions | | | | |
| | 3 Gross income (line 1 minus line 2) | | | | |
| Direct Expenses | 4 Cash prizes | | | | |
| | 5 Noncash prizes | | | | |
| | 6 Rent/facility costs | | | | |
| | 7 Food and beverages | | | | |
| | 8 Entertainment | | | | |
| | 9 Other direct expenses | | | | |
| | 10 Direct expense summary. Add lines 4 through 9 in column (d) ▶ | | | | |
| | 11 Net income summary. Subtract line 10 from line 3, column (d) ▶ | | | | |

**Part III** **Gaming.** Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

| | | (a) Bingo | (b) Pull tabs/instant bingo/progressive bingo | (c) Other gaming | (d) Total gaming (add col. (a) through col. (c)) |
|---|---|---|---|---|---|
| Revenue | 1 Gross revenue | | | | |
| Direct Expenses | 2 Cash prizes | | | | |
| | 3 Noncash prizes | | | | |
| | 4 Rent/facility costs | | | | |
| | 5 Other direct expenses | | | | |
| | 6 Volunteer labor | ☐ Yes ____ % ☐ No | ☐ Yes ____ % ☐ No | ☐ Yes ____ % ☐ No | |
| | 7 Direct expense summary. Add lines 2 through 5 in column (d) ▶ | | | | |
| | 8 Net gaming income summary. Subtract line 7 from line 1, column (d) ▶ | | | | |

9 Enter the state(s) in which the organization conducts gaming activities: ____

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain: ____

10a Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain: ____

11 Does the organization conduct gaming activities with nonmembers? . . . . ☐ Yes ☐ No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? . . . . ☐ Yes ☐ No

13 Indicate the percentage of gaming activity conducted in:

a The organization's facility . . . . 13a %

b An outside facility . . . . 13b %

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ ______

Address ▶ ______

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? . . . . ☐ Yes ☐ No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ $ ______ and the amount of gaming revenue retained by the third party ▶ $ ______.

c If "Yes," enter name and address of the third party:

Name ▶ ______

Address ▶ ______

16 Gaming manager information:

Name ▶ ______

Gaming manager compensation ▶ $ ______

Description of services provided ▶ ______

☐ Director/officer ☐ Employee ☐ Independent contractor

17 Mandatory distributions:

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? . . . . ☐ Yes ☐ No

b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $

**Part IV** **Supplemental Information.** Provide the explanation required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

990, SCHEDULE G, PART I - HIGHEST PAID FUNDRAISER

| NAME AND ADDRESS OF FUNDRAISER | ACTIVITY | DID FUNDRAISER HAVE CUSTODY OR CONTROL OF CONTRIBUTIONS? YES | NO | GROSS RECEIPTS FROM ACTIVITY | AMOUNT PAID TO (OR RETAINED BY FUNDRAISER | AMOUNT PAID TO (OR RETAINED BY ORGANIZATION |
|---|---|---|---|---|---|---|
| DONOR SERVICES GROUP<br><br>1200 WILSHIRE BLVD., SUITE 650<br>LOS ANGELES<br>CA 90017 | DIRECT FUNDRAISING | | X | 250,335. | 223,525. | 26,810. |
| GORDON & SCHWENKMEYER INC<br><br>20300 S. VERMONT AVENUE, SUITE 210<br>TORRANCE<br>CA 90502 | DIRECT FUNDRAISING | | X | 283,625. | 759,609. | -475,984. |
| TELEFUND<br><br>P.O. BOX 120557<br>BOSTON<br>MA 02112 | DIRECT FUNDRAISING | | X | 135,789. | 215,926. | -80,137. |
| PUBLIC INTEREST COMMUNICATIONS<br>7700 LEESBURG PIKE SUITE 416 SOUTH<br>FALLS CHURCH<br>VA 22043 | DIRECT FUNDRAISING | | X | 80,177. | 51,633. | 28,544. |
| INFOCISION<br><br>325 SPRINGSIDE DRIVE<br>AKRON<br>OH 44333 | DIRECT FUNDRAISING | | X | 29,828. | 50,091. | -20,263. |

| | | | | | |
|---|---|---|---|---|---|
| SD&A TELESERVICES, INC.<br><br>5757 W. CENTURY BOULEVARD, #300<br>LOS ANGELES<br>CA 90045 | DIRECT<br>FUNDRAISING | X | 17,394. | 82,975. | -65,581. |

**SCHEDULE I**
**(Form 990)**

Department of the Treasury
Internal Revenue Service

# Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

**Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.**
**▶ Attach to Form 990.**
**▶ Go to www.irs.gov/Form990 for the latest information.**

OMB No. 1545-0047
2017
Open to Public Inspection

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED
Employer identification number: 11-6107128

## Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

## Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.

Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

| 1 (a) Name and address of organization or government | (b) EIN | (c) IRC section (if applicable) | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of noncash assistance | (h) Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| (1) ENVIRONMENTAL DEFENSE ACTION FUND<br>257 PARK AVENUE, NEW YORK, NY 10010 | 90-0080500 | 501(C)(4) | 2,311,406. | | | | OPERATIONS SUPPORT |
| (2) BUREAU OF RECLAMATION<br>PO BOX 301503, LOS ANGELES, CA 90030 | 84-0406948 | OTHER | 944,000. | | | | ECOSYSTEMS |
| (3) PARTNERSHIP PROJECT INC.<br>PO BOX 65826, WASHINGTON, DC 20035 | 52-2192070 | 501(C)(3) | 530,000. | | | | POLITICAL AFFAIRS |
| (4) CLIMATE ADVISERS TRUST<br>1320 19TH ST NW, WASHINGTON, DC 20036 | 82-3342907 | 501(C)(4) | 514,925. | | | | CLIMATE & ENERGY |
| (5) SMITHSONIAN INSTITUTION<br>60 GARDEN STREET, CAMBRIDGE, MA 02138 | 53-0206027 | 501(C)(3) | 497,382. | | | | OFFICE OF CHIEF SCIENTIST |
| (6) ADMINISTRATORS OF THE TULANE EDUC'L FUND<br>6823 ST. CHARLES AVE, NEW ORLEANS, LA 70118 | 72-0423889 | 501(C)(3) | 301,600. | | | | ECOSYSTEMS |
| (7) CLEAN AIR COUNCIL<br>135 S 19TH ST #300, PHILADELPHIA, PA 19103 | 23-1683461 | 501(C)(3) | 275,000. | | | | CLIMATE & ENERGY |
| (8) NATURE CONSERVANCY<br>4245 FAIRFAX DRIVE, ARLINGTON, VA 22203 | 53-0242652 | 501(C)(3) | 200,000. | | | | ECOSYSTEMS |
| (9) CONSERVATION INTERNATIONAL FOUNDATION<br>2011 CRYSTAL DRIVE, ARLINGTON, VA 22202 | 52-1497470 | 501(C)(3) | 183,653. | | | | OCEANS |
| (10) CITIZENS UTILITY BOARD<br>309 W. WASHINGTON STREET, CHICAGO, IL 60606 | 36-3306846 | 501(C)(4) | 180,000. | | | | CLIMATE & ENERGY |
| (11) COLORADO STATE UNIV.- SPONSORED PROGRAMS<br>COLORADO STATE UNI, FORT COLLINS, CO 80523 | 84-6000545 | OTHER | 166,683. | | | | OFFICE OF CHIEF SCIENTIST |
| (12) UNIVERSITY OF NC AT CHAPEL HILL<br>P.O. BOX 402420, ATLANTA, GA 30384 | 56-6001393 | 501(C)(3) | 160,000. | | | | HEALTH |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . . . ▶

**For Paperwork Reduction Act Notice, see the Instructions for Form 990.**

**SCHEDULE I**
**(Form 990)**

Department of the Treasury
Internal Revenue Service

# Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

**Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.**
**▶ Attach to Form 990.**
**▶ Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047
**2017**
**Open to Public Inspection**

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED
Employer identification number: 11-6107128

## Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

## Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.

Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

| 1 (a) Name and address of organization or government | (b) EIN | (c) IRC section (if applicable) | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of noncash assistance | (h) Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| (1) PRESIDENT AND FELLOWS OF HARVARD COLLEGE<br>P.O. BOX 415649, BOSTON, MA 02241 | 04-2103580 | 501(C)(3) | 133,234. | | | | CLIMATE & ENERGY |
| (2) CORNELL UNIVERSITY<br>341 PINE TREE RD., ITHACA, NY 14850 | 15-0532082 | 501(C)(3) | 130,000. | | | | HEALTH |
| (3) UNIVERSITY OF TEXAS AT AUSTIN<br>110 INNER CAMPUS DR, AUSTIN, TX 78712 | 74-6000203 | 501(C)(3) | 120,000. | | | | OCS |
| (4) FOREST TRENDS ASSOCIATION<br>1203 19TH ST N. W., WASHINGTON, DC 20036 | 52-2135531 | 501(C)(3) | 119,204. | | | | CLIMATE & ENERGY |
| (5) UNIVERSITY OF WASHINGTON<br>4300 ROOSEVELT WAY, NE, SEATTLE, WA 98105 | 91-6001537 | 501(C)(3) | 110,176. | | | | OFFICE OF CHIEF SCIENTIST |
| (6) PENNFUTURE<br>610 N 3RD ST., HARRISBURG, PA 17101 | 31-1607866 | 501(C)(3) | 100,000. | | | | CLIMATE & ENERGY |
| (7) AMERICAN SECURITY PROJECT<br>1100 NEW YORK AVE NW, WASHINGTON, DC 20005 | 20-4079553 | 501(C)(3) | 90,000. | | | | POLITICAL AFFAIRS |
| (8) PENNSYLVANIA ENVIRONMENTAL COUNCIL,INC<br>2124 PENN AVENUE, PITTSBURGH, PA 15222 | 23-7286159 | 501(C)(3) | 81,600. | | | | CLIMATE & ENERGY |
| (9) GROUND WATER RESEARCH & EDUCATION FDN<br>13308 MACARTHUR BL, OKLAHOMA CITY, OK 73142 | 73-1271210 | 501(C)(3) | 75,000. | | | | CLIMATE & ENERGY |
| (10) NATURAL RESOURCES COUNCIL OF MAINE<br>3 WADE STREET, AUGUSTA, ME 04330 | 01-0270690 | 501(C)(3) | 75,000. | | | | POLITICAL AFFAIRS |
| (11) CEERT<br>1100 11TH STREET, SACRAMENTO, CA 95814 | 68-0260751 | 501(C)(3) | 72,500. | | | | CLIMATE & ENERGY |
| (12) SHEDD AQUARIUM<br>1200 S. LAKE SHORE DR, CHICAGO, IL 60605 | 36-2167918 | 501(C)(3) | 70,000. | | | | CLIMATE & ENERGY |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . . . ▶

**For Paperwork Reduction Act Notice, see the Instructions for Form 990.**

**SCHEDULE I**
**(Form 990)**

Department of the Treasury
Internal Revenue Service

# Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

**Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.**
**▶ Attach to Form 990.**
**▶ Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047
**2017**
**Open to Public Inspection**

Name of the organization
ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number
11-6107128

## Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . . [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

## Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. 

Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

| 1 (a) Name and address of organization or government | (b) EIN | (c) IRC section (if applicable) | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of noncash assistance | (h) Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| (1) WYOMING WILDLIFE FEDERATION<br>PO BOX 1312, LANDER, WY 82520 | 23-7002578 | 501(C)(3) | 65,867. | | | | CLIMATE & ENERGY |
| (2) REGENTS OF THE UNIVERSITY OF CA<br>137 MULFORD HALL, BERKELEY, CA 94720 | 94-6002123 | 501(C)(3) | 63,224. | | | | OCEANS & OFFICE OF CHIEF SCIENTISTS |
| (3) DEEP S. CTR FOR ENVIRON'L JUSTICE, INC.<br>6841 LAKE WILLOW DR, NEW ORLEANS, LA 40126 | 56-2466977 | 501(C)(3) | 60,000. | | | | POLITICAL AFFAIRS |
| (4) EARTHWORKS<br>1612 K STREET NW, WASHINGTON, DC 20006 | 52-1557765 | 501(C)(3) | 60,000. | | | | CLIMATE & ENERGY |
| (5) WILLIAM MARSH RICE UNIVERSITY<br>6100 MAIN ST, MS-70, HOUSTON, TX 77005 | 74-1109620 | 501(C)(3) | 60,000. | | | | CLIMATE & ENERGY |
| (6) REP ENVIRONMENTAL EDUCATION FOUNDATION<br>P.O. BOX 613, OAKTON, VA 22124 | 31-1683604 | 501(C)(4) | 52,064. | | | | CLIMATE & ENERGY |
| (7) ENVIRONMENT TEXAS RESEARCH & POLICY CTR<br>815 BRAZOS ST., AUSTIN, TX 78701 | 56-2591697 | 501(C)(3) | 51,800. | | | | CLIMATE & ENERGY |
| (8) UNIVERSITY OF WYOMING<br>1000 E UNIVERSITY AVE, LARAMIE, WY 82071 | 83-6000331 | 501(C)(1) | 51,300. | | | | CLIMATE & ENERGY |
| (9) PENNSYLVANIA STATE UNIVERSITY<br>408 OLD MAIN, UNIVERSITY PARK, PA 16802 | 24-6000376 | 501(C)(3) | 51,250. | | | | ECOSYSTEMS |
| (10) CATHOLIC CLIMATE COVENANT<br>415 MICHIGAN AVE. NW, WASHINGTON, DC 20017 | 81-1503864 | 501(C)(3) | 50,000. | | | | POLITICAL AFFAIRS |
| (11) CERES, INC.<br>99 CHAUNCY STREET, BOSTON, MA 02111 | 22-3053747 | 501(C)(3) | 50,000. | | | | EDF+ BIZ |
| (12) CHRISTIAN COALITION<br>PO BOX 37030, WASHINGTON, DC 20013 | 52-1585899 | 501(C)(3) | 50,000. | | | | POLITICAL AFFAIRS |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . . . . ▶

**For Paperwork Reduction Act Notice, see the Instructions for Form 990.**

**SCHEDULE I**
**(Form 990)**

Department of the Treasury
Internal Revenue Service

# Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

**Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.**
**▶ Attach to Form 990.**
**▶ Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047
**2017**
**Open to Public Inspection**

Name of the organization
ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number
11-6107128

## Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

## Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.

Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

| 1 (a) Name and address of organization or government | (b) EIN | (c) IRC section (if applicable) | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of noncash assistance | (h) Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| (1) CLEAN AIR TASK FORCE, INC.<br>18 TREMONT STREET, BOSTON, MA 02108 | 04-3512550 | 501(C)(3) | 50,000. | | | | CLIMATE & ENERGY |
| (2) ELEVATE ENERGY<br>322 S GREEN STREET, CHICAGO, IL 60607 | 36-4443093 | 501(C)(3) | 50,000. | | | | CLIMATE & ENERGY |
| (3) INTERFAITH CENTER ON CORP. RESPONSIBILITY<br>475 RIVERSIDE DRIVE, NEW YORK, NY 10115 | 13-3235906 | 501(C)(3) | 50,000. | | | | EDF+ BIZ |
| (4) WYOMING OUTDOOR COUNCIL<br>262 LINCOLN STREET, LANDER, WY 82520 | 83-0259411 | 501(C)(3) | 50,000. | | | | CLIMATE & ENERGY |
| (5) UNIV. OF TX HEALTH SCIENCE CENTER<br>7000 FANNIN STREET, HOUSTON, TX 77030 | 74-1761309 | 501(C)(3) | 45,783. | | | | CLIMATE & ENERGY |
| (6) UNIVERSITY OF COLORADO-BOULDER<br>3100 MARINE ST, BOULDER, CO 80309 | 84-6000555 | 501(C)(3) | 45,000. | | | | CLIMATE & ENERGY |
| (7) BIODIVERSITY WORKS<br>2611 NOTTINGHAM LANE, AUSTIN, TX 78704 | 27-4032271 | 501(C)(3) | 43,820. | | | | ECOSYSTEMS |
| (8) DUKE UNIVERSITY<br>2138 CAMPUS DRIVE, DURHAM, NC 27708 | 56-0532129 | 501(C)(3) | 40,000. | | | | OCEANS |
| (9) INTERSTATE OIL AND GAS COMPACT COMMISSION<br>PO BOX 53127, OKLAHOMA CITY, OK 73152 | 73-6022750 | SEC 115 | 40,000. | | | | CLIMATE & ENERGY |
| (10) OHIO ENVIRONMENTAL COUNCIL<br>1207 GRANDVIEW AVE, COLUMBUS, OH 43212 | 31-0805578 | 501(C)(3) | 40,000. | | | | CLIMATE & ENERGY |
| (11) REGENTS OF THE UNIV. OF CA AT IRVINE<br>ACCOUNTING OFFICE, IRVINE, CA 92617 | 95-2226406 | 501(C)(3) | 40,000. | | | | CLIMATE & ENERGY/OCS |
| (12) RURAL COMMUNITY ASSISTANCE CORPORATION<br>3120 FREEBOARD DR, W SACRAMENTO, CA 95691 | 94-2512284 | 501(C)(3) | 35,876. | | | | ECOSYSTEMS |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . . . ▶

**For Paperwork Reduction Act Notice, see the Instructions for Form 990.** **Schedule I (Form 990) (2017)**

**SCHEDULE I**
**(Form 990)**

Department of the Treasury
Internal Revenue Service

# Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

**Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.**
**▶ Attach to Form 990.**
**▶ Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047
**2017**
**Open to Public Inspection**

Name of the organization
ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number
11-6107128

**Part I General Information on Grants and Assistance**

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

| 1 (a) Name and address of organization or government | (b) EIN | (c) IRC section (if applicable) | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of noncash assistance | (h) Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| (1) RESOLVE INC.<br>1255 23RD ST, NW, WASHINGTON, DC 20037 | 52-1841035 | 501(C)(3) | 35,000. | | | | HEALTH |
| (2) SEAFOOD HARVESTERS OF AMERICA EDU. FUND<br>P.O. BOX 66365, WASHINGTON, DC 20009 | 46-5539528 | 501(C)(3) | 35,000. | | | | OCEANS |
| (3) BREATHE UTAH, INC.<br>PO BOX 522435, SALT LAKE CITY, UT 84152 | 27-2111061 | 501(C)(3) | 30,000. | | | | CLIMATE & ENERGY |
| (4) NORTH CAROLINA COUNCIL OF CHURCHES<br>27 HORNE STREET, RALEIGH, NC 27607 | 56-0619364 | 501(C)(3) | 30,000. | | | | CLIMATE & ENERGY |
| (5) PUBLIC LAND SOLUTIONS<br>478 MILL CREEK DRIVE, MOAB, UT 84532 | 45-4818675 | 501(C)(3) | 30,000. | | | | CLIMATE & ENERGY |
| (6) REGENERATION PROJECT<br>369 PINE STREET, SAN FRANCISCO, CA 94104 | 94-3335236 | 501(C)(3) | 30,000. | | | | CLIMATE & ENERGY |
| (7) TEXAS A&M UNIVERSITY<br>TAMU 6000, COLLEGE STATION, TX 77843 | 74-6000531 | 501(C)(3) | 30,000. | | | | CLIMATE & ENERGY |
| (8) WESTERN LEADERS NETWORK<br>P.O BOX 4433, DURANGO, CO 81302 | 82-0625994 | 501(C)(3) | 30,000. | | | | CLIMATE & ENERGY |
| (9) OREGON ENVIRONMENTAL COUNCIL<br>222 NW DAVIS STREET, PORTLAND, OR 97209 | 93-0578714 | 501(C)(3) | 28,000. | | | | CLIMATE & ENERGY |
| (10) INTERCULTURAL CTR FOR THE STUDY OF DESERTS<br>4560 E. BROADWAY, TUCSON, AZ 85711 | 86-0578996 | 501(C)(3) | 26,653. | | | | OCEANS |
| (11) CDP NORTH AMERICA, INC.<br>127 WEST 26TH STREET, NEW YORK, NY 10011 | 36-4709977 | 501(C)(3) | 25,000. | | | | EDF+ BIZ |
| (12) HIP HOP CAUCUS EDUCATION FUND<br>1638 R ST. NW, WASHINGTON, DC 20009 | 27-1165010 | 501(C)(3) | 25,000. | | | | POLITICAL AFFAIRS |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . . . ▶

**For Paperwork Reduction Act Notice, see the Instructions for Form 990.**

**SCHEDULE I**
**(Form 990)**

Department of the Treasury
Internal Revenue Service

# Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

**Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.**
**▶ Attach to Form 990.**
**▶ Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047
**2017**
**Open to Public Inspection**

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number: 11-6107128

## Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . . [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

## Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.

Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

| 1 (a) Name and address of organization or government | (b) EIN | (c) IRC section (if applicable) | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of noncash assistance | (h) Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| (1) ROCKY MOUNTAIN BRAINSPOTTING INSTITUTE<br>1820 FOLSOM ST., BOULDER, CO 80302 | 27-0199484 | 501(C)(3) | 25,000. | | | | CLIMATE & ENERGY |
| (2) TEXAS PROP. ASSESSED CLEAN ENERGY AUTHORITY<br>98 SAN JACINTO BLVD, AUSTIN, TX 78701 | 47-3563763 | 501(C)(3) | 25,000. | | | | CLIMATE & ENERGY |
| (3) TEXAS SOUTHERN UNIVERSITY FOUNDATION<br>3100 CLEBURNE ST, HOUSTON, TX 77004 | 74-1620415 | 501(C)(3) | 25,000. | | | | CLIMATE & ENERGY |
| (4) GALVESTON PROFESSIONAL BOATMENS ASSOCIATION<br>2724 61ST STREET, GALVESTON, TX 77551 | 82-2994153 | 501(C)(6) | 24,999. | | | | OCEANS |
| (5) THINK NORTH CAROLINA FIRST<br>PO BOX 628, RALEIGH, NC 27602 | 46-3994159 | 501(C)(3) | 24,500. | | | | POLITICAL AFFAIRS |
| (6) CLEAN AIR INSTITUTE<br>1100 H STREET NW, WASHINGTON, DC 20002 | 80-0429122 | 501(C)(3) | 24,000. | | | | CLIMATE & ENERGY |
| (7) TEMPLE UNIVERSITY<br>2450 W HUNTING PK AV PHILADELPHIA, PA 19129 | 23-1365971 | 501(C)(3) | 23,690. | | | | CLIMATE & ENERGY |
| (8) COALITION FOR GREEN CAPITAL<br>1875 CONNECTICUT AV NW WASHINGTON, DC 20009 | 90-0868299 | 501(C)(3) | 23,040. | | | | EDF+ BIZ |
| (9) FIELD TO MARKET<br>777 N CAPITOL ST. NE, WASHINGTON, DC 20002 | 90-0885216 | 501(C)(3) | 20,750. | | | | ECOSYSTEMS |
| (10) CALIFORNIA FISHERIES FUND<br>123 MISSION STREET, SAN FRANCISCO, CA 94105 | 26-0873741 | 501(C)(3) | 20,000. | | | | OPERATIONS SUPPORT |
| (11) ALLIANCE FOR CLIMATE EDUCATION<br>4696 BROADWAY, BOULDER, CO 80304 | 26-3106566 | 501(C)(3) | 20,000. | | | | POLITICAL AFFAIRS |
| (12) CENTER FOR CIVIC POLICY<br>PO BOX 27616, ALBUQUERQUE, NM 87125 | 01-0869701 | 501(C)(3) | 20,000. | | | | CLIMATE & ENERGY |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . . . . ▶

**For Paperwork Reduction Act Notice, see the Instructions for Form 990.** **Schedule I (Form 990) (2017)**

**SCHEDULE I**
**(Form 990)**

Department of the Treasury
Internal Revenue Service

# Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

**Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.**
**▶ Attach to Form 990.**
**▶ Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047
**2017**
**Open to Public Inspection**

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED
Employer identification number: 11-6107128

## Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

## Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.

Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

| 1 (a) Name and address of organization or government | (b) EIN | (c) IRC section (if applicable) | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of noncash assistance | (h) Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| (1) CLEO INSTITUTE<br>8325 NE 2ND AVE., MIAMI, FL 33138 | 27-3185735 | 501(C)(3) | 20,000. | | | | POLITICAL AFFAIRS |
| (2) ENERGY EDUCATION PARTNERSHIP, INC.<br>P.O. BOX 53127, OKLAHOMA CITY, OK 73152 | 73-1507278 | 501(C)(3) | 20,000. | | | | CLIMATE & ENERGY |
| (3) ENVIRON'L LAW & POLICY CTR OF THE MIDWEST<br>35 E. WACKER DRIVE, CHICAGO, IL 60601 | 36-3866530 | 501(C)(3) | 20,000. | | | | CLIMATE & ENERGY |
| (4) FARMERS EDUC'L & CO-OP UNION OF AMERICA<br>7900 E. UNION AVE., DENVER, CO 80237 | 84-6025998 | 501(C)(5) | 20,000. | | | | CLIMATE & ENERGY - ECOSYSTEMS |
| (5) HISPANIC FEDERATION<br>55 EXCHANGE PLACE, NEW YORK, NY 10005 | 13-3573852 | 501(C)(3) | 20,000. | | | | POLITICAL AFFAIRS |
| (6) LEARNING DISABILITIES ASSOC. OF AMERICA<br>4156 LIBRARY ROAD, PITTSBURGH, PA 15234 | 23-7088242 | 501(C)(3) | 20,000. | | | | POLITICAL AFFAIRS |
| (7) LSU HEALTH SCIENCES CENTER FOUNDATION<br>3796 NICHOLSON DRIVE, BATON ROUGE, LA 70802 | 72-1115391 | 501(C)(3) | 20,000. | | | | OCEANS |
| (8) MI FAMILIA VOTA EDUCATION FUND<br>1710 E. INDIAN SCHOOL RD, PHOENIX, AZ 85016 | 20-0182824 | 501(C)(3) | 20,000. | | | | POLITICAL AFFAIRS |
| (9) PUBLIC CITIZEN FOUNDATION, INC.<br>1600 20TH ST. NW, WASHINGTON, DC 20009 | 52-1263996 | 501(C)(3) | 20,000. | | | | POLITICAL AFFAIRS |
| (10) PUBLIC EMPLOYEES FOR ENVIRON'L RESPONSIB.<br>PO BOX 1, RINGOES, NJ 08551 | 93-1102740 | 501(C)(3) | 20,000. | | | | POLITICAL AFFAIRS |
| (11) SOUTHFACE ENERGY INSTITUTE, INC.<br>241 PINE STREET NE, ATLANTA, GA 30308 | 58-1357547 | 501(C)(3) | 20,000. | | | | CLIMATE & ENERGY |
| (12) UNITED CATCHER BOATS<br>4005 20TH AVE W, SEATTLE, WA 98199 | 91-1621700 | 501(C)(5) | 20,000. | | | | OCEANS |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . . . ▶

**For Paperwork Reduction Act Notice, see the Instructions for Form 990.**

**SCHEDULE I**
**(Form 990)**

Department of the Treasury
Internal Revenue Service

# Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

**Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.**
**▶ Attach to Form 990.**
**▶ Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047
2017
Open to Public Inspection

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED
Employer identification number: 11-6107128

## Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

## Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.
Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

| 1 (a) Name and address of organization or government | (b) EIN | (c) IRC section (if applicable) | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of noncash assistance | (h) Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| (1) UNITED PARENTS AGAINST LEAD<br>4809 OLD WARWICK ROAD, RICHMOND, VA 23224 | 54-1818807 | 501(C)(3) | 20,000. | | | | POLITICAL AFFAIRS |
| (2) USGBC ILLINOIS CHAPTER<br>222 MERCHANDISE MART PLZ, CHICAGO, IL 60654 | 75-3098915 | 501(C)(3) | 20,000. | | | | CLIMATE & ENERGY |
| (3) VIRGINIA ORGANIZING, INC.<br>703 CONCORD AVE, CHARLOTTESVILLE, PA 22903 | 54-1674992 | 501(C)(3) | 20,000. | | | | POLITICAL AFFAIRS |
| (4) WEST HARLEM ENVIRONMENTAL ACTION<br>1854 AMERSTANDAM AVE, NEW YORK, NY 10031 | 13-3800068 | 501(C)(3) | 20,000. | | | | POLITICAL AFFAIRS |
| (5) WESTERN COLORADO CONGRESS<br>134 N 6TH STREET, GRAND JUNCTION, CO 81501 | 84-0837218 | 501(C)(3) | 19,500. | | | | CLIMATE & ENERGY |
| (6) EVANGELICAL ENVIRONMENTAL NETWORK<br>9365 COUNSELORS ROW, INDIANAPOLIS, IN 46240 | 23-2827214 | 501(C)(3) | 15,000. | | | | POLITICAL AFFAIRS |
| (7) ROANOKE ECONOMIC DEVELOPMENT, INC.<br>P.O. BOX 148, RICH SQUARE, NC 27869 | 56-2182551 | 501(C)(3) | 15,000. | | | | CLIMATE & ENERGY |
| (8) WILDLIFE CARE CLINIC<br>2221 WANDA DALEY DR, AMES, IA 50011 | 42-6004224 | 501(C)(3) | 13,600. | | | | ECOSYSTEMS |
| (9) AMERICAN SOCIETY OF AGRONOMY, INC.<br>5585 GUILFORD ROAD, MADISON, WI 53711 | 39-0808552 | 501(C)(3) | 11,250. | | | | ECOSYSTEMS |
| (10) CA LEAGUE OF CONSERVATION VOTERS EDUCATION<br>350 FRANK H. OGAWA PLAZA, OAKLAND, CA 94612 | 94-3232552 | 501(C)(3) | 10,000. | | | | CLIMATE & ENERGY |
| (11) GRAND CANYON TRUST<br>2601 N FORT VALLEY RD, FLAGSTAFF, AZ 86001 | 86-0512633 | 501(C)(3) | 10,000. | | | | CLIMATE & ENERGY |
| (12) GULF OF MX REEF FISH SHAREHOLDER'S ALLIANCE<br>4415 AVE. S, GALVESTON, TX 77552 | 26-2524327 | 501(C)(6) | 10,000. | | | | OCEANS |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . . . ▶

**For Paperwork Reduction Act Notice, see the Instructions for Form 990.** **Schedule I (Form 990) (2017)**

**SCHEDULE I**
**(Form 990)**

Department of the Treasury
Internal Revenue Service

# Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

**Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.**
**▶ Attach to Form 990.**
**▶ Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047
**2017**
**Open to Public Inspection**

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED
Employer identification number: 11-6107128

## Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

## Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.

Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

| 1 (a) Name and address of organization or government | (b) EIN | (c) IRC section (if applicable) | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of noncash assistance | (h) Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| (1) INTERNATIONAL EMISSIONS TRADING ASSOC. USA<br>1850 M. STREET., NW, WASHINGTON, DC 20036 | 98-0546950 | OTHER | 10,000. | | | | CLIMATE & ENERGY |
| (2) MORMON ENVIRONMENTAL STEWARDSHIP ALLIANCE<br>PO BOX 526082, SALT LAKE CITY, UT 84152 | 46-4032495 | 501(C)(3) | 10,000. | | | | CLIMATE & ENERGY |
| (3) NATIONAL CORN GROWERS ASSOCIATION<br>632 CEPI DR., CHESTERFIELD, MO 63005 | 42-0897662 | 501(C)(5) | 10,000. | | | | ECOSYSTEMS |
| (4) NATIVE AMERICAN VOTERS ALLIANCE EDU. PROJ.<br>PO BOX 35698, ALBUQUERQUE, NM 87176 | 27-1866733 | 501(C)(3) | 10,000. | | | | CLIMATE & ENERGY |
| (5) PHYSICIANS FOR SOCIAL RESPONSIBILITY<br>4513 VERNON BLVD., MADISON, WI 53705 | 39-1371810 | 501(C)(3) | 7,500. | | | | POLITICAL AFFAIRS |
| (6) UNIVERSITY OF HOUSTON<br>5000 GULF FWY BLDG 1, HOUSTON, TX 77204 | 74-6001399 | 501(C)(3) | 7,500. | | | | CLIMATE & ENERGY |
| (7) EARTH INNOVATION INSTITUTE<br>3180 18TH STREET, SAN FRANCISCO, CA 94110 | 27-3444564 | 501(C)(3) | 7,000. | | | | CLIMATE & ENERGY |
| (8) HEALTHY HOMES COALITION OF WEST MICHIGAN<br>1545 BUCHANAN AVE, GRAND RAPIDS, MI 49505 | 20-5326650 | 501(C)(3) | 6,500. | | | | HEALTH |
| (9) | | | | | | | |
| (10) | | | | | | | |
| (11) | | | | | | | |
| (12) | | | | | | | |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶ 90.
3 Enter total number of other organizations listed in the line 1 table . . . . . ▶ 14.

**For Paperwork Reduction Act Notice, see the Instructions for Form 990.**

**Part III** **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

| | (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of non-cash assistance |
|---|---|---|---|---|---|---|
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
| 6 | | | | | | |
| 7 | | | | | | |

**Part IV** **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

PART I, LINE 2:

ENVIRONMENTAL DEFENSE FUND, INC. ("EDF") HAS A NUMBER OF GRANTS AND OTHER ASSISTANCE IT PROVIDES TO OTHER CHARITIES AND QUASI-GOVERNMENTAL ENTITIES IN THE UNITED STATES. THE MAJORITY OF THESE GRANTS ARE TO OTHER ENVIRONMENTAL 501(C)(3) NON-PROFIT ENTITIES THAT PERFORM WORK ALONGSIDE OF EDF IN THE ACCOMPLISHMENT OF ITS MISSION. SOME OF THESE ARE FLOW-THROUGH GRANTS FROM A FUNDING ENTITY OR FOUNDATION THAT SUPPORTS EDF'S WORK. EDF MONITORS THE PERFORMANCE OF THE GRANT RECIPIENTS BY WRITTEN REPORTS, SITE VISITS, VERBAL COMMUNICATION AND REVIEW. PARTIAL PAYMENTS ARE TYPICALLY MADE ON A GRANT UNTIL A PATTERN OF PROVEN

**Part III** **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

| | (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of non-cash assistance |
|---|---|---|---|---|---|---|
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
| 6 | | | | | | |
| 7 | | | | | | |

**Part IV** **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

ACHIEVEMENTS ON OBJECTIVES IS DEMONSTRATED. IN THE END EDF TYPICALLY

PREPARES A REPORT TO FUNDING ENTITIES ON THE USE OF GRANT FUNDS BOTH BY

ITSELF AND BY ANY SUB-GRANT RECIPIENTS.

**SCHEDULE J (Form 990)**

Department of the Treasury
Internal Revenue Service

# Compensation Information

**For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

▶ Attach to Form 990.

▶ Go to *www.irs.gov/Form990* for instructions and the latest information.

OMB No. 1545-0047

**2017**

**Open to Public Inspection**

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number: 11-6107128

## Part I Questions Regarding Compensation

| | | | Yes | No |
|---|---|---|---|---|
| **1a** | Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.<br>☐ First-class or charter travel<br>☐ Travel for companions<br>☐ Tax indemnification and gross-up payments<br>☐ Discretionary spending account<br>☒ Housing allowance or residence for personal use<br>☐ Payments for business use of personal residence<br>☐ Health or social club dues or initiation fees<br>☐ Personal services (such as, maid, chauffeur, chef) | | | |
| **b** | If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain | 1b | X | |
| **2** | Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a? | 2 | X | |
| **3** | Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.<br>☒ Compensation committee<br>☒ Independent compensation consultant<br>☒ Form 990 of other organizations<br>☐ Written employment contract<br>☒ Compensation survey or study<br>☒ Approval by the board or compensation committee | | | |
| **4** | During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization: | | | |
| **a** | Receive a severance payment or change-of-control payment? | 4a | | X |
| **b** | Participate in, or receive payment from, a supplemental nonqualified retirement plan? | 4b | | X |
| **c** | Participate in, or receive payment from, an equity-based compensation arrangement? | 4c | | X |
| | If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III. | | | |
| | **Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.** | | | |
| **5** | For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of: | | | |
| **a** | The organization? | 5a | | X |
| **b** | Any related organization? | 5b | | X |
| | If "Yes" on line 5a or 5b, describe in Part III. | | | |
| **6** | For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of: | | | |
| **a** | The organization? | 6a | | X |
| **b** | Any related organization? | 6b | | X |
| | If "Yes" on line 6a or 6b, describe in Part III. | | | |
| **7** | For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III. | 7 | | X |
| **8** | Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III | 8 | | X |
| **9** | If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)? | 9 | | |

**For Paperwork Reduction Act Notice, see the Instructions for Form 990.**

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

**Note:** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

| (A) Name and Title | | (B) Breakdown of W-2 and/or 1099-MISC compensation (i) Base compensation | (ii) Bonus & incentive compensation | (iii) Other reportable compensation | (C) Retirement and other deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation in column (B) reported as deferred on prior Form 990 |
|---|---|---|---|---|---|---|---|---|
| 1 FREDERIC D. KRUPP<br>PRESIDENT | (i) | 582,234. | 83,514. | 0. | 39,600. | 14,573. | 719,921. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 2 ROBERT YOUNG (SEE SCH.<br>CONTROLLER & INTERIM CFO | (i) | 147,955. | 10,500. | 0. | 5,770. | 25,525. | 189,750. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 3 JOHN MCGEEHAN (THRU 2/1<br>CHIEF FINANCIAL OFFICER | (i) | 279,732. | 5,000. | 0. | 21,276. | 14,634. | 320,642. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 4 GWEN RUTA<br>EXECUTIVE VP - INTERNATIONAL | (i) | 327,720. | 0. | 0. | 21,600. | 6,408. | 355,728. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 5 DR. DANIEL DUDEK<br>VICE PRESIDENT OF ASIA | (i) | 301,882. | 100,000. | 0. | 21,600. | 23,808. | 447,290. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 6 CATHERINE NARDONE<br>CHIEF DEVELOPMENT OFFICER | (i) | 401,741. | 0. | 0. | 32,800. | 4,507. | 439,048. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 7 JESSICA ISSACS (FROM 1/<br>CHIEF ADMINISTRATIVE OFFICER | (i) | 362,171. | 37,500. | 0. | 18,000. | 7,356. | 425,027. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 8 ERIC POOLEY<br>SR VP FOR STRATEGY & COMMUN. | (i) | 371,512. | 0. | 0. | 21,600. | 23,808. | 416,920. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 9 AMANDA LELAND<br>EXECUTIVE VP - PROGRAMS | (i) | 333,153. | 0. | 0. | 22,117. | 23,808. | 379,078. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 10 | (i) | | | | | | | |
| | (ii) | | | | | | | |
| 11 | (i) | | | | | | | |
| | (ii) | | | | | | | |
| 12 | (i) | | | | | | | |
| | (ii) | | | | | | | |
| 13 | (i) | | | | | | | |
| | (ii) | | | | | | | |
| 14 | (i) | | | | | | | |
| | (ii) | | | | | | | |
| 15 | (i) | | | | | | | |
| | (ii) | | | | | | | |
| 16 | (i) | | | | | | | |
| | (ii) | | | | | | | |

## Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 1A:

DAVID H. FESTA, SENIOR VICE PRESIDENT OF ECO SYSTEMS, RECEIVES A REPORTABLE HOUSING ALLOWANCE OF $70,000 WHICH IS REPORTED ON PART II, COLUMN (B)(III).

**SCHEDULE M (Form 990)**

Department of the Treasury
Internal Revenue Service

# Noncash Contributions

▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.
▶ Go to *www.irs.gov/Form990* for the latest information.

OMB No. 1545-0047

**2017**

**Open to Public Inspection**

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number: 11-6107128

## Part I Types of Property

| | (a) Check if applicable | (b) Number of contributions or items contributed | (c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g | (d) Method of determining noncash contribution amounts |
|---|---|---|---|---|
| 1 Art - Works of art | | | | |
| 2 Art - Historical treasures | | | | |
| 3 Art - Fractional interests | | | | |
| 4 Books and publications | | | | |
| 5 Clothing and household goods | | | | |
| 6 Cars and other vehicles | | | | |
| 7 Boats and planes | | | | |
| 8 Intellectual property | | | | |
| 9 Securities - Publicly traded | X | 274. | 6,089,557. | MARKET QUOTATION |
| 10 Securities - Closely held stock | | | | |
| 11 Securities - Partnership, LLC, or trust interests | | | | |
| 12 Securities - Miscellaneous | | | | |
| 13 Qualified conservation contribution - Historic structures | | | | |
| 14 Qualified conservation contribution - Other | | | | |
| 15 Real estate - Residential | | | | |
| 16 Real estate - Commercial | | | | |
| 17 Real estate - Other | | | | |
| 18 Collectibles | | | | |
| 19 Food inventory | | | | |
| 20 Drugs and medical supplies | | | | |
| 21 Taxidermy | | | | |
| 22 Historical artifacts | | | | |
| 23 Scientific specimens | | | | |
| 24 Archeological artifacts | | | | |
| 25 Other ▶( ) | | | | |
| 26 Other ▶( ) | | | | |
| 27 Other ▶( ) | | | | |
| 28 Other ▶( ) | | | | |

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . . . . . . . . 29

| | | Yes | No |
|---|---|---|---|
| **30a** During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period? | 30a | | X |
| **b** If "Yes," describe the arrangement in Part II. | | | |
| **31** Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions? | 31 | X | |
| **32a** Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? | 32a | | X |
| **b** If "Yes," describe in Part II. | | | |
| **33** If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II. | | | |

**Part II** **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

PART I, LINE 9, COLUMN B:

NUMERICAL INFORMATION REPORTED HERE REPRESENTS THE NUMBER OF CONTRIBUTIONS.

| Name of the organization | Employer identification number |
|---|---|
| ENVIRONMENTAL DEFENSE FUND, INCORPORATED | 11-6107128 |

FORM 990, PART I, LINE 7B AND PART V, LINE 3A:

FORM 990-T WAS FILED EXCLUSIVELY TO REPORT QUALIFIED TRANSPORTATION AND PARKING FRINGE BENEFITS, AND ANY ON-PREMISES ATHLETIC FACILITIES UNDER SECTION 512(A)(7) FOR THE PERIOD BEGINNING JANUARY 1, 2018 THRU SEPTEMBER 30, 2018.

FORM 990, PART VI, SECTION B, LINE 11B:

THE FORM 990 IS PREPARED BY A NATIONALLY RENOWNED ACCOUNTING FIRM IN CONJUNCTION WITH THE ORGANIZATION'S FINANCIAL DEPARTMENT AND MEMBERS OF SENIOR MANAGEMENT. THE AUDIT COMMITTEE THEN REVIEWS ANY SIGNIFICANT ISSUES OR JUDGEMENTS RELATING TO DISCLOSURES IN THE REPORTING ORGANIZATION'S FORM 990. AFTERWARDS, A COPY OF THE DRAFT FORM 990 IS CIRCULATED TO THE FULL BOARD OF DIRECTORS FOR DISCUSSION AND COMMENT PRIOR TO ITS FILING WITH THE IRS.

FORM 990, PART VI, SECTION B, LINE 12C:

IT IS THE RESPONSIBILITY OF ALL DIRECTORS AND EMPLOYEES OF THE ORGANIZATION TO FAMILIARIZE THEMSELVES WITH THE CONFLICTS OF INTEREST POLICY AND TO ENSURE COMPLIANCE OF RELATED PARTIES WITH IT. IN ADDITION TO THE DISCLOSURES REQUIRED BY THIS POLICY, EACH DIRECTOR AND KEY EMPLOYEE WAS PROVIDED WITH A STATEMENT TO COMPLETE AND RETURN INDICATING THAT THEY HAVE READ, UNDERSTAND AND ARE IN COMPLIANCE WITH THIS POLICY. DIRECTORS WHO KNOWINGLY OR UNKNOWINGLY VIOLATE THE POLICY ARE SUBJECT TO CENSURE OR REMOVAL AT THE DISCRETION OF THE BOARD OF DIRECTORS. EMPLOYEES

WHO KNOWINGLY OR UNKNOWINGLY VIOLATE THE POLICY WILL BE SUBJECT TO DISCIPLINARY ACTION, INCLUDING POSSIBLE DISMISSAL. ALL NEW BOARD MEMBERS ARE REQUIRED TO MAKE CONFLICT OF INTEREST DISCLOSURES.

FORM 990, PART VI, SECTION B, LINE 15:

COMPENSATION OF THE PRESIDENT OF EDF IS DETERMINED BY THE COMPENSATION COMMITTEE WHICH EVALUATES THE COMPENSATION OF THE PRESIDENT OF THE ORGANIZATION WHO IS THE HIGHEST RANKING EMPLOYEE. THE COMPENSATION COMMITEE OF THE BOARD OF TRUSTEES IS COMPOSED OF FIVE MEMBERS WHO MEET ANNUALLY TO ASSESS THE PRESIDENT'S PERFORMANCE AND COMPENSATION. THE COMPENSATION COMMITTEE USES THE SERVICES OF AN INDEPENDENT COMPENSATION CONSULTANT TO PROVIDE DEMOGRAPHIC AND COMPARATIVE SALARY INFORMATION FOR PEER-GROUP ORGANIZATIONS, WITH FOCUS ON THE PRESIDENT/CEO. THE COMPENSATION CONSULTANT PROVIDES INFORMATION FROM SURVEYS, PUBLIC DISCLOSURES OF OTHER CHARITIES AND PROPRIETARY SOURCES. THE COMMITTEE REVIEWS THE INFORMATION, DISCUSSES THE FINDINGS AMONGST THEMSELVES AND NOT IN THE PRESENCE OF THE PRESIDENT OF THE ORGANIZATION. THE COMMITTEE HAS A PORTION OF ITS MEETING WHERE IT DOES DISCUSS COMPENSATION AND PERFORMANCE WITH THE PRESIDENT BUT THE DECISION-MAKING SEGMENTS OF THE MEETING ARE HELD IN EXECUTIVE SESSION. THE COMPENSATION COMMITTEE IS AWARE OF THE COMPENSATION AMOUNTS FOR OTHER KEY EMPLOYEES AND SENIOR MANAGEMENT TEAM MEMBERS BUT THE DECISIONS GOVERNING THEIR COMPENSATION ARE THE PURVIEW OF THE PRESIDENT OF THE ORGANIZATION.

FORM 990, PART VI, SECTION C, LINE 19:

THE ORGANIZATION MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number: 11-6107128

POLICY AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON REQUEST.

FORM 990, PART VII:

ROBERT YOUNG BECAME INTERIM CFO EFFECTIVE FEBRUARY 2018. COMPENSATION REPORTED ON PART VII IS FOR HIS ROLE AS CONTROLLER DURING CALENDAR YEAR 2017.

ATTACHMENT 1

FORM 990, PART III - PROGRAM SERVICE, LINE 4A

CLIMATE AND ENERGY - TO AVERT DISASTROUS CLIMATE CHANGE, EDF FOCUSES ON THE LARGEST AND BEST OPPORTUNITIES TO REDUCE EMISSIONS OF CLIMATE POLLUTANTS SUCH AS CARBON DIOXIDE AND METHANE. IN 2018, WITH CLIMATE PROGRESS STILL BLOCKED IN WASHINGTON, DC, EDF PURSUED OTHER WAYS TO ADVANCE THESE GOALS. AT THE STATE LEVEL, FOR EXAMPLE, EDF HELPED STOP MASSIVE COAL BAILOUTS IN OHIO, WORKED CLOSELY WITH THE ILLINOIS POWER AGENCY ON IMPLEMENTING RENEWABLE ENERGY REGULATIONS, AND HELPED DEVELOP NEW JERSEY POLICY TO MEET 50% OF ELECTRICITY NEEDS WITH RENEWABLE SOURCES BY 2030. EDF ALSO ADVISED CHINA ON WHAT WILL BECOME THE WORLD'S LARGEST CARBON MARKET, INITIALLY COVERING 1,700 COMPANIES IN THE POWER SECTOR. AND TO REDUCE HUMAN-CAUSED EMISSIONS OF METHANE - WHICH ARE RESPONSIBLE FOR MORE THAN 25% OF TODAY'S GLOBAL WARMING - EDF ENTERED INTO A PARTNERSHIP WITH HARVARD AND THE SMITHSONIAN ASTROPHYSICAL OBSERVATORY TO DEVELOP A NEW SATELLITE TO MONITOR GLOBAL METHANE EMISSIONS FROM SPACE.

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number: 11-6107128

ATTACHMENT 2

FORM 990, PART III - PROGRAM SERVICE, LINE 4B

ECOSYSTEMS - EDF DEVELOPS WAYS TO MEET PEOPLE'S NEEDS FOR FOOD, WATER AND OTHER NATURAL RESOURCES WHILE IMPROVING ECOSYSTEMS, RATHER THAN HARMING THEM. EDF WORKED WITH AGRIBUSINESS AND FOOD INTERESTS INCLUDING CAMPBELL SOUP COMPANY, LAND O'LAKES AND SMITHFIELD FOODS ON FERTILIZER EFFICIENCY PLANS THAT WILL HELP REDUCE SERIOUS FERTILIZER POLLUTION OF AIR AND WATER. TOGETHER, THESE AND OTHER COMPANIES HAVE COMMITTED TO ADOPT BEST PRACTICES ON MORE THAN 20 MILLION ACRES. IN 2018, TO FURTHER ACCELERATE PROGRESS, EDF PARTNERED WITH THE NATIONAL CORN GROWERS ASSOCIATION, WHICH REPRESENTS ABOUT 80 PERCENT OF AMERICA'S CORN FARMERS. EDF ALSO WORKED WITH FARMERS, RANCHERS AND OTHERS TO IMPROVE HABITAT FOR ENDANGERED WILDLIFE, INCLUDING THROUGH THE MONARCH BUTTERFLY HABITAT EXCHANGE, WHERE FARMERS AND RANCHERS CAN EARN CREDITS FOR GROWING MILKWEED, WHICH IS ESSENTIAL TO THE MONARCH'S SURVIVAL. AND IN LOUISIANA, EDF IS HELPING COASTAL AREAS VULNERABLE TO STORMS, SEA LEVEL RISE AND FLOODING.

ATTACHMENT 3

FORM 990, PART III - PROGRAM SERVICE, LINE 4C

OCEANS - TO IMPROVE THE HEALTH OF THE OCEANS AND INCREASE FUTURE SUPPLIES OF SEAFOOD, EDF AIMS TO SHARPLY REDUCE OVERFISHING AND ACHIEVE A DRAMATIC RECOVERY IN FISH POPULATIONS. EDF ADVOCATES SECURE FISHING RIGHTS TO GIVE FISHERMEN A FINANCIAL STAKE IN CONSERVING FISH FOR THE FUTURE. TO ACCELERATE THE ADOPTION OF

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number: 11-6107128

ATTACHMENT 3 (CONT'D)

SUSTAINABLE FISHING MANAGEMENT, EDF AND ITS PARTNERS HAVE DEVELOPED SOFTWARE, TRAINING MANUALS AND CASE STUDIES AND HAVE TRAINED MORE THAN 700 FISHERY LEADERS FROM CHILE TO SPAIN TO THE PHILIPPINES. IN 2018, EDF WORKED WITH CHILE AND PERU TO HELP THE COUNTRIES SHARE INFORMATION ON FISH STOCKS AND CONSIDER NEW MANAGEMENT ARRANGEMENTS THAT COULD MAKE THEIR FISHERIES MORE RESILIENT IN THE FACE OF CLIMATE CHANGE. IN SWEDEN, EDF WORKED WITH FISHERMEN AND GOVERNMENT OFFICIALS TO DESIGN A SUSTAINABLE FISHING SYSTEM THAT COULD BE REPLICATED ACROSS EUROPE. AND ALONG THE WEST COAST OF THE UNITED STATES, EDF AND ITS ALLIES HELPED SAFEGUARD 140,000 SQUARE MILES OF SENSITIVE OCEAN HABITAT.

ATTACHMENT 4

FORM 990, PART III, LINE 4D - OTHER PROGRAM SERVICES

| DESCRIPTION | GRANTS | EXPENSES | REVENUE |
|---|---|---|---|
| HEALTH | 1,434,532. | 11,344,019. | 0. |
| EDUCATION | 198,429. | 8,702,626. | 0. |
| OTHER | 4,150. | 2,706,860. | 0. |
| TOTALS | 1,637,111. | 22,753,505. | 0. |

ATTACHMENT 5

FORM 990, PART V, LINE 4B - FOREIGN COUNTRIES

MEXICO

UNITED KINGDOM

CHINA

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number: 11-6107128

ATTACHMENT 6

FORM 990, PART VI, LINE 17 - STATES

AL,AK,AR,CA,CO,CT,

FL,GA,HI,IL,KS,KY,LA,ME,MD,MA,MI,

MN,MS,NV,NH,NJ,NM,NY,NC,ND,OH,OK,OR,PA,

RI,SC,TN,UT,VA,WA,WV,WI,

ATTACHMENT 7

990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

| NAME AND ADDRESS | DESCRIPTION OF SERVICES | COMPENSATION |
|---|---|---|
| PLAZA CONSTRUCTION LLC<br>1065 AVENUE OF THE AMERICAS, 7TH FLOOR<br>NEW YORK, NY 10018 | CONSTRUCTION | 2,221,582. |
| EDGEMARK PARTNERS, INC.<br>4510 COX ROAD, SUITE 305<br>GLEN ALLEN, VA 23060 | MARKETING | 1,602,800. |
| BRASS TACTICS, LLC<br>1140 CONNECTICUT AVENUE, NW, SUITE 800<br>WASHINGTON, DC 20036 | FINANCIAL PLANNING | 801,109. |
| MYFARMS, LLC<br>P.O. BOX 110<br>PORTLAND, IN 47371 | SOFTWARE SERVICES | 515,278. |
| M J BRADLEY & ASSOCIATES, LLC<br>47 JUNCTION SQUARE DRIVE<br>CONCORD, MA 01742 | LEGAL COUNSEL | 426,828. |

ATTACHMENT 8

FORM 990, PART IX - OTHER FEES

| DESCRIPTION | (A) TOTAL FEES | (B) PROGRAM SERVICE EXP. | (C) MANAGEMENT AND GENERAL | (D) FUNDRAISING EXPENSES |
|---|---|---|---|---|
| OTHER PROFESSIONAL FEES | 29,567,502. | 29,378,937. | 569,884. | -381,319. |

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number: 11-6107128

ATTACHMENT 8 (CONT'D)

FORM 990, PART IX - OTHER FEES

| DESCRIPTION | (A) TOTAL FEES | (B) PROGRAM SERVICE EXP. | (C) MANAGEMENT AND GENERAL | (D) FUNDRAISING EXPENSES |
|---|---|---|---|---|
| TOTALS | 29,567,502. | 29,378,937. | 569,884. | -381,319. |

**SCHEDULE R (Form 990)**

Department of the Treasury
Internal Revenue Service

# Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.
▶ Attach to Form 990.
▶ Go to *www.irs.gov/Form990* for instructions and the latest information.

OMB No. 1545-0047
2017
Open to Public Inspection

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number: 11-6107128

**Part I Identification of Disregarded Entities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

| | (a) Name, address, and EIN (if applicable) of disregarded entity | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Total income | (e) End-of-year assets | (f) Direct controlling entity |
|---|---|---|---|---|---|---|
| (1) | | | | | | |
| (2) | | | | | | |
| (3) | | | | | | |
| (4) | | | | | | |
| (5) | | | | | | |
| (6) | | | | | | |

**Part II Identification of Related Tax-Exempt Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year.

| | (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Exempt Code section | (e) Public charity status (if section 501(c)(3)) | (f) Direct controlling entity | (g) Section 512(b)(13) controlled entity? Yes | No |
|---|---|---|---|---|---|---|---|---|
| (1) | ENVIRONMENTAL DEFENSE ACTION FUND 90-0080500<br>257 PARK AVENUE NEW YORK, NY 10010 | ADVOCACY | DE | 501(C)(4) | N/A | EDF | X | |
| (2) | CALIFORNIA FISHERIES FUND, INC. 26-0873741<br>123 MISSION STREET, 28TH FLOOR SAN FRANCISCO, CA 94105 | REFORM | CA | 501(C)(3) | 12A | EDF | X | |
| (3) | ENVIRONMENTAL DEFENSE FUND DE MEXICO, AC<br>REVOLUCION NO. 345 LA PAZ, MX CP 23000 | OCEAN PRESER. | MX | N/A | N/A | EDF | X | |
| (4) | ENVIRONMENTAL DEFENSE FUND EUROPE<br>6-10 BOROUGH HIGH STREET LONDON, UK SE1 9QQ | OCEANS/ENERGY | UK | N/A | N/A | EDF | X | |
| (5) | | | | | | | | |
| (6) | | | | | | | | |
| (7) | | | | | | | | |

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2017

**Part III** **Identification of Related Organizations Taxable as a Partnership.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Predominant income (related, unrelated, excluded from tax under sections 512 - 514) | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproportionate allocations? | | (i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065) | (j) General or managing partner? | | (k) Percentage ownership |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | Yes | No | | Yes | No | |
| (1) | | | | | | | | | | | | |
| (2) | | | | | | | | | | | | |
| (3) | | | | | | | | | | | | |
| (4) | | | | | | | | | | | | |
| (5) | | | | | | | | | | | | |
| (6) | | | | | | | | | | | | |
| (7) | | | | | | | | | | | | |

**Part IV** **Identification of Related Organizations Taxable as a Corporation or Trust.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Type of entity (C corp, S corp, or trust) | (f) Share of total income | (g) Share of end-of-year assets | (h) Percentage ownership | (i) Section 512(b)(13) controlled entity? | |
|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | Yes | No |
| (1) | | | | | | | | | |
| (2) | | | | | | | | | |
| (3) | | | | | | | | | |
| (4) | | | | | | | | | |
| (5) | | | | | | | | | |
| (6) | | | | | | | | | |
| (7) | | | | | | | | | |

**Part V** **Transactions With Related Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

| | | Line | Yes | No |
|---|---|---|---|---|
| 1 | During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV? | | | |
| a | Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity | 1a | | X |
| b | Gift, grant, or capital contribution to related organization(s) | 1b | X | |
| c | Gift, grant, or capital contribution from related organization(s) | 1c | | X |
| d | Loans or loan guarantees to or for related organization(s) | 1d | X | |
| e | Loans or loan guarantees by related organization(s) | 1e | | X |
| f | Dividends from related organization(s) | 1f | | X |
| g | Sale of assets to related organization(s) | 1g | | X |
| h | Purchase of assets from related organization(s) | 1h | | X |
| i | Exchange of assets with related organization(s) | 1i | | X |
| j | Lease of facilities, equipment, or other assets to related organization(s) | 1j | | X |
| k | Lease of facilities, equipment, or other assets from related organization(s) | 1k | | X |
| l | Performance of services or membership or fundraising solicitations for related organization(s) | 1l | | X |
| m | Performance of services or membership or fundraising solicitations by related organization(s) | 1m | | X |
| n | Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) | 1n | X | |
| o | Sharing of paid employees with related organization(s) | 1o | X | |
| p | Reimbursement paid to related organization(s) for expenses | 1p | | X |
| q | Reimbursement paid by related organization(s) for expenses | 1q | | X |
| r | Other transfer of cash or property to related organization(s) | 1r | | X |
| s | Other transfer of cash or property from related organization(s) | 1s | | X |

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

| | (a) Name of related organization | (b) Transaction type (a-s) | (c) Amount involved | (d) Method of determining amount involved |
|---|---|---|---|---|
| (1) | ENVIRONMENTAL DEFENSE ACTION FUND | B | 2,311,406. | FMV |
| (2) | ENVIRONMENTAL DEFENSE FUND EUROPE | B | 2,312,456. | FMV |
| (3) | CALIFORNIA FISHERIES FUND | B | 20,000. | FMV |
| (4) | ENVIRONMENTAL DEFENSE ACTION FUND | D | 2,617,548. | FMV |
| (5) | ENVIRONMENTAL DEFENSE ACTION FUND | N | 231,319. | FMV |
| (6) | ENVIRONMENTAL DEFENSE ACTION FUND | O | 3,503,037. | FMV |

## Part VI Unrelated Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

| (a) Name, address, and EIN of entity | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Predominant income (related, unrelated, excluded from tax under sections 512-514) | (e) Are all partners section 501(c)(3) organizations? | | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproportionate allocations? | | (i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065) | (j) General or managing partner? | | (k) Percentage ownership |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | Yes | No | | | Yes | No | | Yes | No | |
| (1) | | | | | | | | | | | | | |
| (2) | | | | | | | | | | | | | |
| (3) | | | | | | | | | | | | | |
| (4) | | | | | | | | | | | | | |
| (5) | | | | | | | | | | | | | |
| (6) | | | | | | | | | | | | | |
| (7) | | | | | | | | | | | | | |
| (8) | | | | | | | | | | | | | |
| (9) | | | | | | | | | | | | | |
| (10) | | | | | | | | | | | | | |
| (11) | | | | | | | | | | | | | |
| (12) | | | | | | | | | | | | | |
| (13) | | | | | | | | | | | | | |
| (14) | | | | | | | | | | | | | |
| (15) | | | | | | | | | | | | | |
| (16) | | | | | | | | | | | | | |

**Part VII** **Supplemental Information**
Provide additional information for responses to questions on Schedule R. See instructions.

Form **990-T**

Department of the Treasury
Internal Revenue Service

# Exempt Organization Business Income Tax Return
## (and proxy tax under section 6033(e))

For calendar year 2017 or other tax year beginning 10/01, 2017, and ending 09/30, 20 18.

▶ Go to *www.irs.gov/Form990T* for instructions and the latest information.

▶ Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).

OMB No. 1545-0687

**2017**

Open to Public Inspection for 501(c)(3) Organizations Only

A [ ] Check box if address changed

Name of organization ( [ ] Check box if name changed and see instructions.)

ENVIRONMENTAL DEFENSE FUND, INCORPORATED

D Employer identification number (Employees' trust, see instructions.)

11-6107128

B Exempt under section

[X] 501( C )( 03 )

[ ] 408(e) [ ] 220(e)

[ ] 408A [ ] 530(a)

[ ] 529(a)

Print or Type

Number, street, and room or suite no. If a P.O. box, see instructions.

257 PARK AVENUE SOUTH

E Unrelated business activity codes (See instructions.)

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10010

C Book value of all assets at end of year

F Group exemption number (See instructions.) ▶

G Check organization type ▶ [X] 501(c) corporation [ ] 501(c) trust [ ] 401(a) trust [ ] Other trust

H Describe the organization's primary unrelated business activity. ▶ ATTACHMENT 1

I During the tax year, was the corporation a subsidiary in an affiliated group or a parent-subsidiary controlled group? ▶ [ ] Yes [X] No

If "Yes," enter the name and identifying number of the parent corporation. ▶

J The books are in care of ▶ ROBERT YOUNG, Telephone number ▶ 212-505-2100

## Part I Unrelated Trade or Business Income

| | | | (A) Income | (B) Expenses | (C) Net |
|---|---|---|---|---|---|
| 1a | Gross receipts or sales | | | | |
| b | Less returns and allowances ______ c Balance ▶ | 1c | | | |
| 2 | Cost of goods sold (Schedule A, line 7) | 2 | | | |
| 3 | Gross profit. Subtract line 2 from line 1c | 3 | | | |
| 4a | Capital gain net income (attach Schedule D) | 4a | | | |
| b | Net gain (loss) (Form 4797, Part II, line 17) (attach Form 4797) | 4b | | | |
| c | Capital loss deduction for trusts | 4c | | | |
| 5 | Income (loss) from partnerships and S corporations (attach statement) | 5 | | | |
| 6 | Rent income (Schedule C) | 6 | | | |
| 7 | Unrelated debt-financed income (Schedule E) | 7 | | | |
| 8 | Interest, annuities, royalties, and rents from controlled organizations (Schedule F) | 8 | | | |
| 9 | Investment income of a section 501(c)(7), (9), or (17) organization (Schedule G) | 9 | | | |
| 10 | Exploited exempt activity income (Schedule I) | 10 | | | |
| 11 | Advertising income (Schedule J) | 11 | | | |
| 12 | Other income (See instructions; attach schedule) | 12 | 350,036. | ATCH 2 | 350,036. |
| 13 | **Total.** Combine lines 3 through 12 | 13 | 350,036. | | 350,036. |

## Part II Deductions Not Taken Elsewhere (See instructions for limitations on deductions.) (Except for contributions, deductions must be directly connected with the unrelated business income.)

| | | | |
|---|---|---|---|
| 14 | Compensation of officers, directors, and trustees (Schedule K) | 14 | |
| 15 | Salaries and wages | 15 | |
| 16 | Repairs and maintenance | 16 | |
| 17 | Bad debts | 17 | |
| 18 | Interest (attach schedule) | 18 | |
| 19 | Taxes and licenses | 19 | |
| 20 | Charitable contributions (See instructions for limitation rules) | 20 | |
| 21 | Depreciation (attach Form 4562) 21 | | |
| 22 | Less depreciation claimed on Schedule A and elsewhere on return 22a | 22b | |
| 23 | Depletion | 23 | |
| 24 | Contributions to deferred compensation plans | 24 | |
| 25 | Employee benefit programs | 25 | |
| 26 | Excess exempt expenses (Schedule I) | 26 | |
| 27 | Excess readership costs (Schedule J) | 27 | |
| 28 | Other deductions (attach schedule) | 28 | |
| 29 | **Total deductions.** Add lines 14 through 28 | 29 | |
| 30 | Unrelated business taxable income before net operating loss deduction. Subtract line 29 from line 13 | 30 | 350,036. |
| 31 | Net operating loss deduction (limited to the amount on line 30) | 31 | |
| 32 | Unrelated business taxable income before specific deduction. Subtract line 31 from line 30 | 32 | 350,036. |
| 33 | Specific deduction (Generally $1,000, but see line 33 instructions for exceptions) | 33 | 1,000. |
| 34 | **Unrelated business taxable income.** Subtract line 33 from line 32. If line 33 is greater than line 32, enter the smaller of zero or line 32 | 34 | 349,036. |

**For Paperwork Reduction Act Notice, see instructions.**

## Part III Tax Computation

| | | | |
|---|---|---|---|
| 35 | **Organizations Taxable as Corporations.** See instructions for tax computation. Controlled group members (sections 1561 and 1563) check here ▶ ☐ **See instructions** and: | | |
| a | Enter your share of the $50,000, $25,000, and $9,925,000 taxable income brackets (in that order): (1) $ (2) $ (3) $ | | |
| b | Enter organization's share of: (1) Additional 5% tax (not more than $11,750) $ | | |
| | (2) Additional 3% tax (not more than $100,000) $ | | |
| c | Income tax on the amount on line 34 ATCH 3 ▶ | 35c | 73,298. |
| 36 | **Trusts Taxable at Trust Rates.** See instructions for tax computation. Income tax on the amount on line 34 from: ☐ Tax rate schedule or ☐ Schedule D (Form 1041) ▶ | 36 | |
| 37 | **Proxy tax.** See instructions ▶ | 37 | |
| 38 | Alternative minimum tax | 38 | |
| 39 | **Tax on Non-Compliant Facility Income.** See instructions | 39 | |
| 40 | **Total.** Add lines 37, 38 and 39 to line 35c or 36, whichever applies | 40 | 73,298. |

## Part IV Tax and Payments

| | | | | | |
|---|---|---|---|---|---|
| 41 a | Foreign tax credit (corporations attach Form 1118; trusts attach Form 1116) | 41a | | | |
| b | Other credits (see instructions) | 41b | | | |
| c | General business credit. Attach Form 3800 (see instructions) | 41c | | | |
| d | Credit for prior year minimum tax (attach Form 8801 or 8827) | 41d | | | |
| e | **Total credits.** Add lines 41a through 41d | | | 41e | |
| 42 | Subtract line 41e from line 40 | | | 42 | 73,298. |
| 43 | Other taxes. Check if from: ☐ Form 4255 ☐ Form 8611 ☐ Form 8697 ☐ Form 8866 ☐ Other (attach schedule) | | | 43 | |
| 44 | **Total tax.** Add lines 42 and 43 | | | 44 | 73,298. |
| 45 a | Payments: A 2016 overpayment credited to 2017 | 45a | | | |
| b | 2017 estimated tax payments | 45b | 24,600. | | |
| c | Tax deposited with Form 8868 | 45c | | | |
| d | Foreign organizations: Tax paid or withheld at source (see instructions) | 45d | | | |
| e | Backup withholding (see instructions) | 45e | | | |
| f | Credit for small employer health insurance premiums (Attach Form 8941) | 45f | | | |
| g | Other credits and payments: ☐ Form 2439 ____ ☐ Form 4136 ____ ☐ Other ____ Total ▶ | 45g | | | |
| 46 | **Total payments.** Add lines 45a through 45g | | | 46 | 24,600. |
| 47 | Estimated tax penalty (see instructions). Check if Form 2220 is attached ▶ ☐ | | | 47 | |
| 48 | **Tax due.** If line 46 is less than the total of lines 44 and 47, enter amount owed ▶ | | | 48 | 48,698. |
| 49 | **Overpayment.** If line 46 is larger than the total of lines 44 and 47, enter amount overpaid ▶ | | | 49 | |
| 50 | Enter the amount of line 49 you want: **Credited to 2018 estimated tax** ▶ **Refunded** ▶ | | | 50 | |

## Part V Statements Regarding Certain Activities and Other Information (see instructions)

| | | Yes | No |
|---|---|---|---|
| 51 | At any time during the 2017 calendar year, did the organization have an interest in or a signature or other authority over a financial account (bank, securities, or other) in a foreign country? If YES, the organization may have to file FinCEN Form 114, Report of Foreign Bank and Financial Accounts. If YES, enter the name of the foreign country here ▶ | | X |
| 52 | During the tax year, did the organization receive a distribution from, or was it the grantor of, or transferor to, a foreign trust? If YES, see instructions for other forms the organization may have to file. | | X |
| 53 | Enter the amount of tax-exempt interest received or accrued during the tax year ▶ $ | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer ▶ Date Title ▶

May the IRS discuss this return with the preparer shown below (see instructions)? X Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| PAUL HAMMERSCHMIDT | | | | P01384178 |
| Firm's name ▶ BDO USA, LLP | | | Firm's EIN ▶ 13-5381590 | |
| Firm's address ▶ 100 PARK AVENUE,, NEW YORK, NY 10017-5001 | | | Phone no. 212-885-8000 | |

**Schedule A - Cost of Goods Sold.** Enter method of inventory valuation ▶

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| 1 | Inventory at beginning of year | 1 | | 6 | Inventory at end of year | 6 | | |
| 2 | Purchases | 2 | | 7 | **Cost of goods sold.** Subtract line 6 from line 5. Enter here and in Part I, line 2 | 7 | | |
| 3 | Cost of labor | 3 | | 8 | Do the rules of section 263A (with respect to property produced or acquired for resale) apply to the organization? | | **Yes** | **No** |
| 4a | Additional section 263A costs (attach schedule) | 4a | | | | | | X |
| b | Other costs (attach schedule) | 4b | | | | | | |
| 5 | **Total.** Add lines 1 through 4b | 5 | | | | | | |

**Schedule C - Rent Income (From Real Property and Personal Property Leased With Real Property)** (see instructions)

1. Description of property

(1)
(2)
(3)
(4)

| 2. Rent received or accrued | | 3(a) Deductions directly connected with the income in columns 2(a) and 2(b) (attach schedule) |
|---|---|---|
| (a) From personal property (if the percentage of rent for personal property is more than 10% but not more than 50%) | (b) From real and personal property (if the percentage of rent for personal property exceeds 50% or if the rent is based on profit or income) | |
| (1) | | |
| (2) | | |
| (3) | | |
| (4) | | |
| Total | Total | |
| **(c) Total income.** Add totals of columns 2(a) and 2(b). Enter here and on page 1, Part I, line 6, column (A) ▶ | | **(b) Total deductions.** Enter here and on page 1, Part I, line 6, column (B) ▶ |

**Schedule E - Unrelated Debt-Financed Income** (see instructions)

| 1. Description of debt-financed property | 2. Gross income from or allocable to debt-financed property | 3. Deductions directly connected with or allocable to debt-financed property (a) Straight line depreciation (attach schedule) | (b) Other deductions (attach schedule) |
|---|---|---|---|
| (1) | | | |
| (2) | | | |
| (3) | | | |
| (4) | | | |

| 4. Amount of average acquisition debt on or allocable to debt-financed property (attach schedule) | 5. Average adjusted basis of or allocable to debt-financed property (attach schedule) | 6. Column 4 divided by column 5 | 7. Gross income reportable (column 2 x column 6) | 8. Allocable deductions (column 6 x total of columns 3(a) and 3(b)) |
|---|---|---|---|---|
| (1) | | % | | |
| (2) | | % | | |
| (3) | | % | | |
| (4) | | % | | |
| | | | Enter here and on page 1, Part I, line 7, column (A). | Enter here and on page 1, Part I, line 7, column (B). |
| **Totals** ▶ | | | | |
| **Total dividends-received deductions** included in column 8 ▶ | | | | |

Form **990-T** (2017)

## Schedule F - Interest, Annuities, Royalties, and Rents From Controlled Organizations (see instructions)

| 1. Name of controlled organization | 2. Employer identification number | Exempt Controlled Organizations | | | |
|---|---|---|---|---|---|
| | | 3. Net unrelated income (loss) (see instructions) | 4. Total of specified payments made | 5. Part of column 4 that is included in the controlling organization's gross income | 6. Deductions directly connected with income in column 5 |
| (1) | | | | | |
| (2) | | | | | |
| (3) | | | | | |
| (4) | | | | | |

Nonexempt Controlled Organizations

| 7. Taxable Income | 8. Net unrelated income (loss) (see instructions) | 9. Total of specified payments made | 10. Part of column 9 that is included in the controlling organization's gross income | 11. Deductions directly connected with income in column 10 |
|---|---|---|---|---|
| (1) | | | | |
| (2) | | | | |
| (3) | | | | |
| (4) | | | | |
| | | | Add columns 5 and 10. Enter here and on page 1, Part I, line 8, column (A). | Add columns 6 and 11. Enter here and on page 1, Part I, line 8, column (B). |
| **Totals** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ | | | | |

## Schedule G - Investment Income of a Section 501(c)(7), (9), or (17) Organization (see instructions)

| 1. Description of income | 2. Amount of income | 3. Deductions directly connected (attach schedule) | 4. Set-asides (attach schedule) | 5. Total deductions and set-asides (col. 3 plus col. 4) |
|---|---|---|---|---|
| (1) | | | | |
| (2) | | | | |
| (3) | | | | |
| (4) | | | | |
| | Enter here and on page 1, Part I, line 9, column (A). | | | Enter here and on page 1, Part I, line 9, column (B). |
| **Totals** . . . . . . . . . . . ▶ | | | | |

## Schedule I - Exploited Exempt Activity Income, Other Than Advertising Income (see instructions)

| 1. Description of exploited activity | 2. Gross unrelated business income from trade or business | 3. Expenses directly connected with production of unrelated business income | 4. Net income (loss) from unrelated trade or business (column 2 minus column 3). If a gain, compute cols. 5 through 7. | 5. Gross income from activity that is not unrelated business income | 6. Expenses attributable to column 5 | 7. Excess exempt expenses (column 6 minus column 5, but not more than column 4). |
|---|---|---|---|---|---|---|
| (1) | | | | | | |
| (2) | | | | | | |
| (3) | | | | | | |
| (4) | | | | | | |
| | Enter here and on page 1, Part I, line 10, col. (A). | Enter here and on page 1, Part I, line 10, col. (B). | | | | Enter here and on page 1, Part II, line 26. |
| **Totals** . . . . . . . . . . . ▶ | | | | | | |

## Schedule J - Advertising Income (see instructions)

### Part I Income From Periodicals Reported on a Consolidated Basis

| 1. Name of periodical | 2. Gross advertising income | 3. Direct advertising costs | 4. Advertising gain or (loss) (col. 2 minus col. 3). If a gain, compute cols. 5 through 7. | 5. Circulation income | 6. Readership costs | 7. Excess readership costs (column 6 minus column 5, but not more than column 4). |
|---|---|---|---|---|---|---|
| (1) | | | | | | |
| (2) | | | | | | |
| (3) | | | | | | |
| (4) | | | | | | |
| **Totals** (carry to Part II, line (5)) . . ▶ | | | | | | |

**Part II** **Income From Periodicals Reported on a Separate Basis** (For each periodical listed in Part II, fill in columns 2 through 7 on a line-by-line basis.)

| 1. Name of periodical | 2. Gross advertising income | 3. Direct advertising costs | 4. Advertising gain or (loss) (col. 2 minus col. 3). If a gain, compute cols. 5 through 7. | 5. Circulation income | 6. Readership costs | 7. Excess readership costs (column 6 minus column 5, but not more than column 4). |
|---|---|---|---|---|---|---|
| (1) | | | | | | |
| (2) | | | | | | |
| (3) | | | | | | |
| (4) | | | | | | |
| **Totals from Part I** . . . . . . . ▶ | | | | | | |
| **Totals,** Part II (lines 1-5) . . . . ▶ | Enter here and on page 1, Part I, line 11, col (A). | Enter here and on page 1, Part I, line 11, col (B). | | | | Enter here and on page 1, Part II, line 27. |

## Schedule K - Compensation of Officers, Directors, and Trustees (see instructions)

| 1. Name | 2. Title | 3. Percent of time devoted to business | 4. Compensation attributable to unrelated business |
|---|---|---|---|
| (1) | | % | |
| (2) | | % | |
| (3) | | % | |
| (4) | | % | |
| **Total.** Enter here and on page 1, Part II, line 14 . . . . . . . . . . ▶ | | | |

ORGANIZATION'S PRIMARY UNRELATED BUSINESS ACTIVITY.

QUALIFIED TRANSPORTATION AND PARKING FRINGE BENEFITS, AND ANY ON-PREMISES ATHLETIC FACILITIES UNDER SECTION 512(A)(7).

ATTACHMENT 2

PART I - LINE 12 - OTHER INCOME

| | |
|---|---|
| TRANSPORTATION FRINGE BENEFITS (SECTION 512(A)(7)) FOR THE PERIOD BEGINNING 1/1/2018 THRU 9/30/2018 | 350,036. |
| PART I - LINE 12 - OTHER INCOME | 350,036. |

FORM 990-T: FISCAL YEAR CORPORATION TAX COMPUTATION APPLYING 21% TAX RATE

| | |
|---|---|
| 1 UNRELATED BUSINESS TAXABLE INCOME (PAGE1, PART II, LINE 34). | 349,036. |
| 2 TAX ON LINE 1 FIGURED USING THE 21% RATE.................... | 73,298. |